# Nutrition Policies and Guidance for the Child and Adult Care Food Program (CACFP)



# Accommodating Special Diets in CACFP Child Care Programs

Child Care Centers • Family Day Care Homes Emergency Shelters • At-risk Afterschool Care Centers





# **Revised March 2020**

Connecticut State Department of Education Bureau of Health/Nutrition, Family Services and Adult Education 450 Columbus Boulevard, Suite 504 Hartford, CT 06103-1841

# Accommodating Special Diets in CACFP Child Care Programs

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Project Director Susan S. Fiore, M.S., R.D., Nutrition Education Coordinator

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# **About This Guide**

The Connecticut State Department of Education's (CSDE) guide, *Accommodating Special Diets in CACFP Child Care Programs*, contains information and guidance on the requirements for modifying meals and snacks for children with special dietary needs in child care facilities that participate in the U.S. Department of Agriculture's (USDA) Child and Adult Care Food Program (CACFP). CACFP child care facilities include:

- child care centers, including Head Start centers;
- at-risk afterschool care centers;
- emergency shelters; and
- family day care homes.

This guide provides information on the requirements for meal modifications for children whose disability restricts their diet, based on the federal nondiscrimination laws and USDA regulations. It also addresses optional meal modifications for children whose dietary needs do not constitute a disability.

Due to the complicated nature of some issues regarding feeding children with special dietary needs, CACFP facilities are encouraged to contact the CSDE for assistance. For questions regarding meal modifications, please contact the CACFP staff in the CSDE's Bureau of Health/Nutrition, Family Services and Adult Education. For a list of the CACFP staff, see "CSDE Contact Information" on the next page.

Each section of this guide contains links to other sections when appropriate, and to websites with relevant information and resources. These resources can be accessed by clicking on the blue text throughout the guide. The mention of trade names, commercial products, and organizations does not imply approval or endorsement by the CSDE or the USDA.

The contents of this guide are subject to change. The CSDE will update this guide as the USDA issues additional policies and guidance. Please check the CSDE's Special Diets in CACFP Child Care Programs webpage for the most current version. For more information, contact Susan S. Fiore, M.S., R.D., Nutrition Education Coordinator, at susan.fiore@ct.gov or 860-807-2075.

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# **CSDE Contact Information**

For questions regarding meal modifications in the CACFP, please contact the CACFP staff in the CSDE's Bureau of Health/Nutrition, Family Services and Adult Education.

CACFP Staff		
Child Care Centers	Family Day Care Homes	
<ul> <li>Susan Boyle 860-807-2074 susan.boyle@ct.gov</li> <li>Benedict Onye 860-807-2080 benedict.onye@ct.gov</li> </ul>	<ul> <li>Shannon Yearwood 860-807-2050 shannon.yearwood@ct.gov</li> </ul>	
Bureau of Health/Nutrition, Fan 450 Columbus Bo	partment of Education nily Services and Adult Education pulevard, Suite 504 I' 06103-1841	

# **Abbreviations and Acronyms**

- ADA Americans with Disabilities Act
- APP alternate protein product
- APRN advanced practice registered nurse
- CACFP Child and Adult Care Food Program
- CDC Centers for Disease Control and Prevention
- CFR Code of Federal Regulations
- CHR Cumulative Health Record
- CNP Child Nutrition Programs
- CSDE Connecticut State Department of Education
- DPH Connecticut State Department of Public Health
- ECP Emergency Care Plan
- FARE Food Allergy Research & Education
- FDA Food and Drug Administration
- FNS Food and Nutrition Service, U.S. Department of Agriculture
- ICN Institute of Child Nutrition
- IEP Individualized Education Program
- IDEA Individuals with Disabilities Education Act
- IHCP Individualized Health Care Plan
- NSLP National School Lunch Program
- OHI other health impaired

РНС	Public Health Code
PPT	Planning and Placement Team
PKU	phenylketonuria
QFO	qualified food operator
RD	registered dietitian
RDN	registered dietitian nutritionist
SOP	standard operating procedure
USDA	U.S. Department of Agriculture

# 1 — Overview

This guide applies to child care facilities that participate in the U.S. Department of Agriculture's (USDA) CACFP, including child care centers, Head Start centers, at-risk afterschool care centers, emergency shelters, and family day care homes. All CACFP child care facilities must comply with the USDA's nondiscrimination regulations (7 CFR 15b) and CACFP regulations (7 CFR 226) for meal modifications for children whose disability restricts their diet. These regulations apply to all children served by CACFP facilities, including:

- infants from birth through 11 months;
- children ages 1-12;
- children ages 15 and younger of migrant workers;
- children of any age with disabilities; and
- children through age 18 in at-risk afterschool care centers and emergency shelters.

The requirements for meal modifications are different for children with and without disabilities. This guide summarizes the federal nondiscrimination laws and the USDA's regulations and policies that determine these requirements. It includes current USDA guidance on the requirements for meal modifications in the CACFP, as indicated in USDA Memo CACFP 14-2017 and SFSP 10-2017: *Modifications to Accommodate Disabilities in CACFP and SFSP*.



Due to the complicated nature of some issues regarding feeding children with special dietary needs, CACFP facilities are encouraged to contact the CSDE for assistance. For a list of the CACFP staff, see "CSDE Contact Information" at the beginning of this guide.



# **Nondiscrimination Legislation**

Federal nondiscrimination laws and regulations contain provisions that require CACFP facilities to make reasonable meal modifications on a case-by-case basis for children whose disability restricts their diet. These laws include:

- Section 504 of the Rehabilitation Act of 1973 (Section 504);
- the Individuals with Disabilities Education Act (IDEA);
- the Americans with Disabilities Act (ADA) of 1990, including changes made by the ADA Amendments Act of 2008; and
- the USDA's nondiscrimination regulations (7 CFR 15b).

The USDA's CACFP regulations (7 CFR 226.20(g)) require reasonable meal modifications for children whose disability restricts their diet, based on a written medical statement signed by a recognized medical authority. Requests for a reasonable meal modification must be related to the child's disabling condition. For information on what constitutes a disability and the requirements for meal modifications for children with disabilities, see section 2.

#### Federal legislation

Section 504, the IDEA, the ADA, and the ADA Amendments Act are laws that protect individuals with disabilities from discrimination.

- Section 504 prohibits discrimination on the basis of a disability in programs and activities that receive federal financial assistance, such as the USDA Child Nutrition Programs.
- The IDEA is a federal grant program that provides financial assistance to states in the provision of special education and related services for eligible children. Under section 619 of the IDEA, preschool children with disabilities are entitled to a free and appropriate public education through special education and related services that comply with the child's individualized education program. Under Part C of the IDEA, appropriate early intervention services are made available to all eligible infants and toddlers (ages 1-2) with disabilities, and their families, through an individualized family service plan.
- The ADA guarantees equal opportunity and access for individuals with disabilities in employment, public accommodations, transportation, state and local governments, and telecommunications.

- The ADA Amendments Act prohibits discrimination based on disability in the provision of state and local government services, including services provided by public schools, and prohibits discrimination based on disability by private entities offering public accommodations, including private schools. Title II of the ADA Amendments Act prohibits discrimination based on a disability in the provision of state and local government services, such as public schools. Title III of the ADA Amendments Act prohibits discrimination based on a disability by private entities that provide public accommodations, including child care centers, emergency shelters, and family day care homes. The ADA Amendments Act greatly expands the concept of who is disabled. It requires that a disability must be viewed more broadly to encompass more impairments that limit a major life activity and therefore require an accommodation.
- The USDA's nondiscrimination regulations (7 CFR 15b.26(d)) prohibit discrimination against children with disabilities in any USDA program or activity. These regulations require recipients of federal financial assistance (such as CACFP sponsors, centers, and family day care homes) to serve modified meals and snacks at no extra charge to participants whose disability restricts their diet.

Children whose disability restricts their diet may be protected from discrimination under the provisions of one or more of these laws.



# 1 Overview

#### State legislation for life-threatening food allergies

The Connecticut General Statutes (C.G.S.) address requirements that apply to all children (with or without disabilities) in public schools. C.G.S. 10-212c requires a management plan for students with life-threatening food allergies (C.G.S. 10-212c). This statute also applies to child care programs that operate in public schools.

• *Connecticut General Statutes Section 10-212c. Life-threatening food allergies: Guidelines; District plans.* (a) Not later than January 1, 2006, the Department of Education, in conjunction with the Department of Public Health, shall develop and make available to each local and regional board of education guidelines for the management of students with life-threatening food allergies. The guidelines shall include, but need not be limited to: (1) education and training for school personnel on the management of students with life-threatening food allergies, including training related to the administration of medication with a cartridge injector pursuant to subsection (d) of section 10-212a, (2) procedures for responding to life-threatening allergic reactions to food, (3) a process for the development of individualized health care and food allergy action plans for every student with a life-threatening food allergy, and (4) protocols to prevent exposure to food allergens.

(b) Not later than July 1, 2006, each local and regional board of education shall implement a plan based on the guidelines developed pursuant to subsection (a) of this section for the management of students with life-threatening food allergies enrolled in the schools under its jurisdiction.

In response to C.G.S. Section 10-212c, the CSDE developed the publication, *Guidelines for Managing Life-threatening Food Allergies in Connecticut Schools*. For more information, see "Food Allergy Management Plan" in section 5.

# **Requirements for Meal Modifications**

The USDA requires that all meals and snacks served to children must comply with the CACFP meal patterns. However, food substitutions and other reasonable modifications to the CACFP meal patterns may be necessary to meet the dietary needs of children who:

- qualify as having a disability under any of the federal nondiscrimination laws;
- are eligible for special education under the IDEA; or
- do not qualify as having a disability under any of the federal nondiscrimination laws, but have other special dietary needs.

Examples of possible modifications include food restrictions, food substitutions, texture changes (e.g., pureed, ground, chopped, or thickened liquids), increased or decreased calories, and tube feedings. Modifications to the meal service may also involve ensuring that facilities and personnel are adequate to provide necessary services.

In certain situations, disability accommodations may require additional equipment; separate or designated storage or preparation areas, surfaces, or utensils; and specific staff training and expertise. For example, some children may require the physical assistance of an aide to consume their meal, while other children may need assistance tracking their dietary intake, such as tracking carbohydrate intake for children with diabetes.

Table 1 helps CACFP facilities determine when meal modifications are required. For an overview of the requirements for meal modifications, see the CSDE's handout, *Summary* of *Requirements for Accommodating Special Diets in CACFP Child Care Programs*.

#### Children with disabilities

The USDA's nondiscrimination regulations (7 CFR 15b) and CACFP regulations (7 CFR 226.20(g)) require that CACFP facilities make reasonable modifications on a case-by-case basis for children whose disability restricts their diet, when a recognized medical authority certifies the need. "Case-by-case basis" means that the meal modifications are specific to the individual medical condition and dietary needs of each child. A recognized medical authority is a state-licensed healthcare professional who is authorized to write medical prescriptions under state law. This includes physicians, physician assistants, doctors of osteopathy, and advanced practice registered nurses.

The USDA defines a "reasonable modification" as a change or alteration in policies, practices, and/or procedures to accommodate a disability that ensures children with disabilities have equal opportunity to participate in or benefit from a program. The general guideline in making

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accommodations is that children with disabilities must be able to participate in and receive benefits from programs that are available to children without disabilities.

Meal modifications must be related to the disability or limitations caused by the disability, and require a medical statement from a recognized medical authority. All disability considerations must be reviewed on a case-by-case basis, i.e., any meal modifications are specific to the individual medical condition and dietary needs of each child. For information on what constitutes a disability and the requirements for meal modifications, see section 2.

#### Children without disabilities

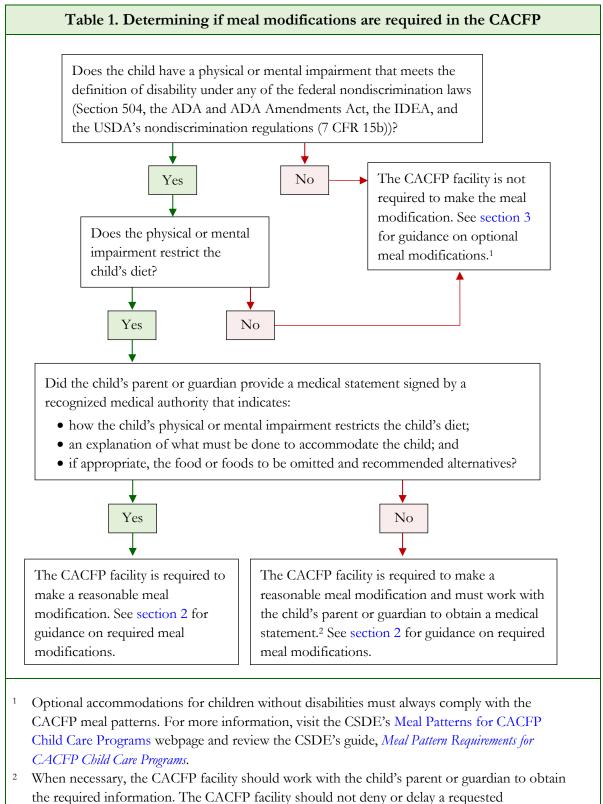
The CACFP regulations (7 CFR 226.20(g)) allow, but do not require, meal modifications for children whose dietary needs do not constitute a disability. Examples include meal modification requests related to:

- religious or moral convictions;
- general health concerns; and
- personal food preferences, such as parents who prefers that their children eat a gluten-free diet or organic foods because they believe it is healthier.

CACFP facilities may choose to make these optional accommodations on a case-by-case basis. However, modified meals and snacks provided to children without disabilities must always comply with the appropriate CACFP meal pattern for the specific age group. For children without disabilities, CACFP facilities cannot claim reimbursement for meals and snacks that do not meet the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. **The USDA only allows deviations from the CACFP meal patterns for children whose disability restricts their diet, when a recognized medical authority certifies the need.** 

Meals and snacks with optional modifications for children without disabilities are eligible for reimbursement, regardless of whether the CACFP facility obtains a medical statement. However, the CSDE recommends obtaining a medical statement to ensure clear communication between parents or guardians and the CACFP facility about the appropriate meal modifications for the child.

For information on the CACFP meal patterns, visit the CSDE's Meal Patterns for CACFP Child Care Programs webpage and review the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*. For guidance on meal modifications for children without disabilities, see section 3.



modification because the medical statement does not provide complete information. For more information, see "Handling missing information" in section 2.

# **Meal Patterns**

The CACFP meal patterns do not apply to modified meals and snacks for children whose disability restricts their diet. However, meals and snacks that consist only of texture modifications, such as chopped, ground, or pureed foods, must comply with the CACFP meal patterns.

Optional meal modifications for children whose dietary need does not constitute a disability must always meet the CACFP meal patterns. Meals and snacks that do not meet the CACFP meal patterns are ineligible for reimbursement. For information on the CACFP meal patterns, visit the CSDE's Meal Patterns for CACFP Child Care Programs webpage and review the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*.



# **Meal Reimbursement and Cost**

CACFP facilities cannot charge more for modified meals and snacks served to children with or without disabilities. Additional costs for substituted foods are allowable CACFP costs, but the USDA does not provide additional reimbursement. The USDA reimburses all CACFP meals and snacks at the same rate.

#### Allowable costs

In most instances involving modified meals, the costs of special food and food preparation equipment are allowable CACFP costs, and food service personnel will generally be responsible for providing the modified meal. For example, if a child must have a pureed meal, it is reasonable to budget CACFP funds to purchase a blender or food processor and have the meal prepared by the food service staff.

For special procedures like tube feedings, proper administration generally requires the skills of specially trained personnel, such as nurses or trained aides who regularly work with the child. Child care programs may charge these costs to the CACFP or other non-CACFP funding sources, as appropriate.

In most cases, CACFP facilities can make meal modifications with little extra expense or involvement. When CACFP funds are insufficient to cover the additional cost, the child care program can consider alternative funding sources such as the facility's non-CACFP funds. Examples include Head Start, School Readiness, Care 4 Kids, tuition and fees, and donations; and community sources, such as parent-teacher organizations, voluntary health associations, and other local community groups.

# **Procedures for Meal Modifications**

The process of providing modified meals and snacks for children with disabilities should be as inclusive as possible. It is essential that the CACFP facility works with the parent or guardian to ensure the child receives a safe meal, and has an equal opportunity to participate in the CACFP.

#### Team approach

The USDA strongly encourages CACFP facilities to implement a team approach when providing meal modifications for children with disabilities. Developing a team that includes individuals from the sponsoring organization, center or family day care home, and the disability coordinator, e.g., Section 504 Coordinator (if available) will help ensure consistent decisions, implementation, and tracking of meal modifications. The most effective team may also include other individuals with training in this area. For example, licensed child care centers should include their health consultant and registered dietitian. Any request for modifications related to the meal or meal service should be reviewed by the team and forwarded to the disability coordinator (if available). Any medical information obtained by the team must be kept confidential.

The team will work with the child's parent or guardian to review the request and develop a solution as quickly as possible. The USDA encourages the team to develop policies and practices that allow the CACFP facility to quickly and consistently address the most commonly encountered disabilities. For information on developing policies, see section 5.



#### Communicating with parents and guardians

The CSDE encourages CACFP facilities to develop procedures for regularly communicating with parents and guardians regarding meal modifications for children. Topics to communicate include

- the CACFP facility's policy and standard operating procedures (SOPs) for managing meal modifications for children whose disability restricts their diet (see "Policy for Meal Modifications" in section 5);
- procedures for parents and guardians to request meal modifications for children whose disability restricts their diet, including how to complete the medical statement (see "Medical Statement Requirements" in section 2);
- procedures for obtaining nutrition information for CACFP meals and snacks (see "Nutrition Information" in section 2); and
- procedural rights of parents and guardians for grievance procedures (see "Procedural Safeguards" in section 5).

The policy and SOPs for meal modifications should be posted on the CACFP facility's website and shared with children (when developmentally appropriate) and parents/guardians through other means. Examples include parent handbooks, newsletters, e-mails, handouts, menu backs, bulletin boards and displays, meetings, child care program events, and public service announcements.

The USDA's nondiscrimination regulations (7 CFR 15b.7) require CACFP facilities to notify program participants of the process for requesting meal modifications and the individual responsible for coordinating modifications. Methods of initial and continuing notification may include:

- posting of notices;
- placement of notices in relevant publications;
- radio announcements; and
- other visual and auditory media.

As part of this notification, CACFP facilities should explain when parents and guardians must submit supporting documentation for their child's meal modification request. To receive reimbursement for meal modifications that do not follow the CACFP meal patterns, the USDA requires that CACFP facilities must have a medical statement signed by a recognized medical authority (or IEP or 504 plan, if applicable)

The CSDE strongly encourages CACFP facilities to develop written policies for meal modifications that provide clear guidelines for parents and guardians, and staff. For more information, see "Procedural Safeguards" and "Policies for Meal Modifications" in section 5.

#### Communicating with food service personnel

CACFP facilities must establish procedures for identifying children with special dietary needs and providing this information to the staff responsible for preparing and serving CACFP meals and snacks. The Health Insurance Portability and Accountability Act of 1996 (HIPAA) permits the disclosure of personal health information needed for patient care and other important purposes. CACFP facilities may share copies of children's medical statements with food service personnel and other appropriate staff, for the purposes of meal modifications for children with special dietary needs. Food service personnel should have access to this information to allow them to make the appropriate meal modifications for each child. The CSDE recommends that CACFP facilities inform parents and guardians about this sharing of information.



For some medical conditions, such as food allergies, it may be appropriate for CACFP facilities to maintain information for food service personnel in the form of a list identifying the children and their food restrictions, along with the appropriate substitutions designated by each child's medical statement. This list would be adequate to document the substitutions in the CACFP meal patterns if the CACFP facility has the original signed medical statements on file. The CSDE evaluates documentation for meal modifications as part of the Administrative Review of the CACFP.

**Note:** CACFP facilities must protect the privacy of children who have a disability, and must maintain the confidentiality of each child's medical condition. CACFP facilities cannot implement policies or practices that outwardly identify children whose disability requires a meal modification. If the CACFP facility uses lists to identify children and their food restrictions, these lists must be in locations that are only visible to applicable staff, such as food service staff and the child care staff supervising CACFP meals and snacks. For more information, see "Identifying Children" in section 2.

# Summary of CACFP Responsibilities

CACFP facilities are responsible for providing meals and snacks to all children, including children with disabilities. The following summarizes the responsibilities of CACFP staff regarding meal modifications in child care centers (including Head Start centers, at-risk afterschool care centers, and emergency shelters) and family day care homes.

#### Meal pattern substitutions

- CACFP facilities must make reasonable meal modifications on a case-by-case basis for children whose disability restricts their diet, based on a medical statement signed by a recognized medical authority. For more information, see section 2.
  - The USDA does not require CACFP facilities to obtain a medical statement for modified meals and snacks that meet the CACFP meal patterns. For example, if a child has an allergy to strawberries, CACFP facilities may substitute grapes. This substitution meets the CACFP meal patterns because both food items are from the fruits component. However, the USDA strongly recommends that CACFP facilities keep documentation on file acknowledging the child's disability. The CSDE recommends obtaining a medical statement to ensure clear communication between parents or guardians and the CACFP facility about the appropriate meal modifications for the child. This serves as a precaution to ensure that children receive safe and appropriate meals, protect the CACFP facility, and minimize misunderstandings.
- CACFP facilities are encouraged, but not required, to provide optional meal modifications on a case-by-case basis for children whose dietary need does not constitute a disability. Optional meal modifications for children without disabilities must comply with the CACFP meal patterns. For more information, see section 3.
  - The USDA does not require CACFP facilities to obtain a medical statement for modified meals and snacks that meet the CACFP meal patterns. However, the CSDE recommends obtaining a medical statement to ensure clear communication between parents or guardians and the CACFP facility about the appropriate meal modifications for each child.
- CACFP facilities must have documentation on file for all meal modifications that do not comply with the CACFP meal patterns, i.e., modifications for children with disabilities. For more information, see "Storage of medical statements" in section 2.
  - CACFP facilities should not deny or delay a requested modification because the medical statement does not provide complete information. For more information, see "Handling missing information" in section 2.

• Under no circumstances should food service personnel revise or change a diet prescription or medical order. CACFP facilities must make a reasonable modification based on the instructions written by the recognized medical authority in the child's medical statement.

For guidance on determining when CACFP facilities are required to make reasonable meal modifications, see "Requirements for Meal Modifications" and table 1 in this section.

#### Accessibility

The USDA's nondiscrimination regulations (7 CFR 15 b.26(d)(2)) specify that where existing food service facilities are not completely accessible and usable, CACFP facilities may provide aides or use other equally effective methods to serve food to children with disabilities. The CACFP facility is responsible for the accessibility of food service sites and for ensuring the provision of aides when needed.

As with additional costs for meal modifications, any additional costs for adaptive feeding equipment or aides are allowable CACFP costs. However, the USDA does not provide additional reimbursement. For more information, see "Allowable costs" in this section.

The USDA's nondiscrimination regulations also require that CACFP facilities provide food services in the most integrated setting appropriate to the needs of children with disabilities. For more information, see "Appropriate Eating Areas" in section 2.

#### Cooperation

CACFP food service personnel should work closely with parents or guardians, and all other child care, medical, and community personnel who are responsible for the health, well-being and education of children with disabilities or with other special dietary needs, to ensure that the CACFP facility makes reasonable modifications to allow participation in the meal service. This cooperation is particularly important when accommodating children whose disabilities require significant modifications or personal assistance. For more information, see "Team approach" and "Communicating with parents and guardians" in this section.

# 2 — Modifications for Children with Disabilities

The USDA's nondiscrimination regulations (7 CFR 15b) and CACFP regulations (7 CFR 226.20(g)) require that CACFP facilities make reasonable modifications on a case-by-case basis for children whose disability restricts their diet, when a recognized medical authority certifies the need. Meal modifications must be related to the child's disability or limitations caused by the disability, and require a medical statement signed by a recognized medical authority.

- A reasonable modification is a change or alteration in policies, practices, and/or procedures to accommodate a disability that ensures children with disabilities have equal opportunity to participate in or benefit from a program. The general guideline in making accommodations is that children with disabilities must be able to participate in and receive benefits from programs that are available to children without disabilities.
- **Case-by-case basis** means that the meal modifications are specific to the individual medical condition and dietary needs of each child.
- The Connecticut State Department of Public Health (DPH) defines a recognized medical authority as a state-licensed healthcare professional who is authorized to write medical prescriptions under state law. This includes physicians (MD), physician assistants (PA) and certified physician assistants (PAC), doctors of osteopathy (DO), and advanced practice registered nurses (APRN). These are the only medical professionals who are authorized to sign a child's medical statement for meal modifications. CACFP facilities cannot accept medical statements signed by any other individuals.

Examples of conditions that might require meal modifications include, but are not limited to:

- autism;
- cancer;
- celiac disease;
- cerebral palsy;
- diabetes;
- food allergies;
- food intolerances, e.g., lactose intolerance and gluten intolerance;
- heart disease;
- metabolic disorders;



- phenylketonuria (PKU);
- seizure disorder;
- severe obesity; and
- certain temporary disabilities (see "Temporary Disabilities" in this section).

These examples of medical conditions are not all-inclusive and might not require meal modifications for all children. All disability considerations must be reviewed on a case-by-case basis.

# **Definition of Disability**

Each federal law specifies the definition of a person with a disability. The definitions under Section 504 of the Rehabilitation Act, the ADA (including the ADA Amendments Act), and the USDA's nondiscrimination regulations are summarized below.

#### Section 504 of the Rehabilitation Act and the ADA

Under Section 504 of the Rehabilitation Act and the ADA, a "person with a disability" means any person who 1) has a physical or mental impairment that substantially limits one or more major life activities, 2) has a record of such an impairment, or 3) is regarded as having such an impairment.

The final rule (28 CFR Parts 35 and 36) for the ADA Amendments Act includes examples of diseases and conditions that may qualify an individual for protection under Section 504 or the ADA, if the disease or condition meets the qualifying criteria for a physical or mental impairment under Section 504 or the ADA. This list is not all-inclusive.

- orthopedic, visual, speech, and hearing impairments;
- cerebral palsy;
- epilepsy;
- muscular dystrophy;
- multiple sclerosis;
- cancer;
- heart disease;
- diabetes;
- intellectual disability;
- emotional illness;
- dyslexia and other specific learning disabilities;
- Attention Deficit Hyperactivity Disorder;
- Human Immunodeficiency Virus infection (whether symptomatic or asymptomatic);

- tuberculosis; and
- drug addiction and alcoholism. **Note:** An individual who is currently engaging in the illegal use of drugs, when an institution acts based on such use, is not a protected individual with a disability under either Section 504 or the ADA. This exclusion does not include individuals currently participating in, or who have successfully completed, a supervised drug rehabilitation program and are no longer engaging in such drug use.

The final rule for the ADA Amendments Act defines "**major life activities**" as including, but not being limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, sitting, reaching, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, writing, communicating, interacting with others, and working.

"Major life activities" also include the operation of a major bodily function including, but not limited to, functions of the immune system, special sense organs and skin, normal cell growth, and digestive, genitourinary, bowel, bladder, neurological, brain, respiratory, circulatory, cardiovascular, endocrine, hemic, lymphatic, musculoskeletal, and reproductive systems. The operation of a major bodily function includes the operation of an individual organ within a body system.

The ADA Amendments Act specifically prohibits "**mitigating measures**" from being used to deny an individual with a disability protection under Section 504. Mitigating measures are things like medications, prosthetic devices, assistive devices, or learned behavioral or adaptive neurological modifications that an individual may use to eliminate or reduce the effects of an impairment. For example, if a child's diabetes can be controlled through insulin and diet, the child may still qualify for protection because the mitigating measure (insulin) cannot be considered in determining qualification. However, the Section 504 team may use mitigating measures to determine the accommodations needed for the child.

#### IDEA Act of 2004

Under the IDEA, a child with a "disability" means 1) a child evaluated in accordance with the IDEA as having one or more of the recognized disability categories; 2) the disability adversely affects educational performance; and 3) because of the disability and the adverse impact, the child needs special education and related services. The IDEA 2004 disability categories include:

- autism;
- deaf-blindness;
- deafness;
- emotional disturbance;
- hearing impairment;
- intellectual disability (mental retardation);
- multiple disabilities;
- orthopedic impairment;
- other health impairment (limited strength, vitality or alertness due to chronic or acute health problems such as lead poisoning, asthma, attention deficit disorder, diabetes, a heart condition, hemophilia, leukemia, nephritis, rheumatic fever, sickle cell anemia, and Tourette syndrome);
- specific learning disability;
- speech or language impairment;
- traumatic brain injury;
- visual impairment including blindness; and
- developmental delay (3- to 5-year-old children only).



Section 619 of Part B of the IDEA defines the preschool program, which guarantees a free appropriate public education to children ages 3-5 with disabilities. Preschool children who have disabilities are entitled to a free and appropriate education that includes special education and related services in the least restrictive environment. Eligible local educational agencies (LEAs) identify young children who meet any of the IDEA disability conditions and require specialized instruction to access their education are eligible to receive services under Section 619 Part B of IDEA.

Each child who is identified in one of the 13 federal disability categories, or has a developmental delay per C.G.S. Section 10-76 (a)(5)(c) and requires specialized instruction and related services to access their education, must have an individual education program (IEP) developed through the Planning and Placement Team (PPT) process. For more information, see "IDEA considerations" in this section.

For eligible children, the LEA may choose to offer services either in a LEA program or may choose to send services into the community (itinerant services). There are some LEAs that provide itinerant services in community-based programs, such as child care centers and Head Start. The LEA can use their discretion to provide services in any community settings.

#### USDA's nondiscrimination regulations

While the USDA's nondiscrimination regulations (7 CFR 15b) use the term "handicapped" to refer to people with disabilities, this guide uses the terms "disability" and "disabilities" because they are consistent with the current language used in the definitions under Section 504, the ADA and ADA Amendments Act, and the IDEA.

The USDA's nondiscrimination regulations provide the following definition for handicapped person:

"Handicapped Person" means any person who has a physical or mental impairment that substantially limits one or more major life activities, has a record of such an impairment, or is regarded as having such an impairment.

"Physical or mental impairment" means 1) any physiological disorder or condition, cosmetic disfigurement, or anatomical loss affecting one or more of the following body systems: neurological; musculoskeletal; special sense organs; respiratory, including speech organs; cardiovascular; reproductive; digestive; genitourinary; hemic and lymphatic; skin; and endocrine; or 2) any mental or psychological disorder, such as mental retardation, organic brain syndrome, emotional or mental illness, and specific learning disabilities. The term "physical or mental impairment" includes, but is not limited to, such diseases and conditions as orthopedic, visual, speech and hearing impairments; cerebral palsy; epilepsy; muscular dystrophy; multiple sclerosis; cancer; heart disease; diabetes; mental retardation; emotional illness; and drug addiction and alcoholism.

"Major life activities" means functions such as caring for one's self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning and working.

"Has a record of such impairment" means has a history of, or has been misclassified as having, a mental or physical impairment that substantially limits one or more major life activities.

"Is regarded as having an impairment" means 1) has a physical or mental impairment that does not substantially limit major life activities but that is treated by a recipient as constituting such a limitation; 2) has a physical or mental impairment that substantially limits major life activities only as a result of the attitudes of others towards such impairments; or 3) has none of the impairments defined in "physical and mental impairment" above, but is treated by a recipient as having such an impairment.

The USDA's nondiscrimination regulations require meal modifications for children whose disability restricts their diet. This applies to all children whose physical and mental impairments meet the definition of disability under any of the federal laws, including Section 504, the ADA and ADA Amendments Act, the IDEA, and the USDA's nondiscrimination regulations. Under the ADA Amendments Act, most physical and mental impairments will constitute a disability.

#### **Determining What Constitutes a Disability**

The determination of whether a child has a disability is based on the federal nondiscrimination laws (Section 504, the IDEA, the ADA and ADA Amendments Act, and the USDA's nondiscrimination regulations) and a recognized medical authority's diagnosis of the child's medical condition. The medical statement indicates if the child has a disability (physical or mental impairment) that restricts their diet. Alternatively, this may be indicated in the child's Section 504 plan or IEP, if applicable.

The USDA requires that the medical statement (or Section 504 plan or IEP, if applicable) must include:

- information about the child's physical or mental impairment that is sufficient to allow the CACFP facility to understand how it restricts the child's diet;
- an explanation of what must be done to accommodate the child's disability; and
- if appropriate, the food or foods to be omitted and recommended alternatives.

CACFP facilities can determine if a child requires a meal modification by reviewing question 10 in section B of the CSDE's medical statement form, *Medical Statement for Meal Modifications in CACFP Child Care Programs*. Question 10 asks if the child has a physical or mental impairment that restricts their diet. If the answer is "Yes," the CACFP facility must make a reasonable meal modification. If the answer is "No," the CACFP facility may choose, but is not required, to make the meal modification. For more information on medical statements, see "Medical Statement Requirements" in this section.

Under the ADA Amendments Act, most physical and mental impairments will constitute a disability. This includes conditions that impair immune, digestive, neurological, and bowel functions, as well as many others. All disability considerations must be reviewed on a case-by-case basis, i.e., specific to the individual medical condition and dietary needs of each child.

- Under the ADA Amendments Act, a physical or mental impairment does not need to be life threatening to constitute a disability. Limiting a major life activity is sufficient. For example, a food intolerance, such as lactose intolerance or gluten intolerance, may be considered to be a disability if it substantially limits digestion, a bodily function that is a major life activity. A child whose digestion is impaired by a food intolerance may be a person with a disability, regardless of whether consuming the food causes the child severe distress.
- If a child's condition is not listed under the ADA's categories of diseases and conditions, it cannot be assumed that the condition is not a disability. The ADA's categories of diseases and conditions are not all-inclusive; there are more conditions that meet the definition of disability than are listed in the law.
- The determination of whether a physical or mental impairment constitutes a disability must be made without regard for whether mitigating measures may reduce the impact of the impairment. An impairment may be covered as a disability even if medication or another mitigating measure may reduce the impact on the impairment. For example, the fact that a child may be able to control an allergic reaction by taking medication should not be considered in determining whether the allergy is a disability.
- General health concerns and personal preferences, such as parents who prefer that their children eat a gluten-free diet or organic foods because they believe it is healthier, are not disabilities and do not require meal modifications. This also applies to preferences for nondairy milk substitutes (such as rice milk and almond milk) that do not comply with the USDA's nutrition standards for fluid milk substitutes (see table 4 in section 3). CACFP facilities can never serve noncompliant milk substitutes to children without disabilities, even with a medical statement signed by a recognized medical authority. For more information, see section 3.

Based on the ADA Amendments Act, CACFP facilities should not engage in weighing medical evidence against the legal standard to determine whether a particular physical or mental impairment is severe enough to qualify as a disability. The primary concern is ensuring equal opportunity for all children to participate in or benefit from the CACFP. For additional guidance, see USDA Memo CACFP 14-2017 and SFSP 10-2017: *Modifications to Accommodate Disabilities in CACFP and SFSP*.

#### Section 504 considerations

The determination of whether a child has a disability under Section 504 is through a Section 504 meeting, which anyone can initiate. A team of professionals who are knowledgeable about the condition of the child reviews the child's data, determines if additional information is needed, and determines if the child qualifies as having a disability under Section 504.

The Section 504 meeting and the Planning and Placement Team (PPT) determine whether the disability affects the child's diet, and therefore requires a meal modification. The PPT is a group of certified or licensed professionals who represent each of the teaching, administrative, and pupil personnel staffs, and who participate equally in the decision-making process to 1) determine the specific educational needs of a child eligible for special education; and 2) develop an IEP for the child. These are people knowledgeable in the areas necessary to determine and review the appropriate educational program for a child eligible for special education.

If the team determines the child has a disability under Section 504 (because the child has a physical or mental impairment that substantially limits a major life activity), the CACFP facility must make a reasonable modification based on the recognized medical authority's instructions in the child's Section 504 plan. There does not have to be an impact on education for a child with special dietary needs to qualify under Section 504. A child with special dietary needs may qualify under Section 504 if the



dietary needs significantly impair the child's major life activity of eating. Accommodations to address the child's dietary needs should be written into a Section 504 plan. A separate Individualized Health Care Plan (IHCP) may be written for the child. In some situations, the IHCP is the child's Section 504 plan.

Protection under Section 504 and the ADA extends to public and private child care centers. Centers must make accommodations and reasonable modifications to their practices to allow children protected by these federal nondiscrimination laws to access the CACFP, which includes children with special dietary needs.

If the Section 504 meeting determines that the child does not have a disability, the CACFP facility may choose to make meal modifications on a case-by-case basis, but is not legally obligated to accommodate the child.

#### **IDEA** considerations

A child with special dietary needs may be eligible for special education through the IDEA under the category of "other health impaired" (OHI), where the special dietary needs or other health concerns are the primary reasons the child meets the OHI criteria. OHI requires a chronic or acute medical condition that results in limited strength, vitality, or alertness or a heightened awareness to stimuli, which adversely affects the child's education performance and causes the child to require specially designed instruction. If the child is eligible under the OHI category, the PPT will need to address the effects of the child's medical condition on educational performance. The PPT must also address the special dietary needs as a related service enabling the child to benefit from the educational program.

A child with special dietary needs may be eligible for special education under the IDEA in a category of disability other than OHI. For example, a child with traumatic brain injury may also have special dietary needs. The PPT should consider whether the child's special dietary needs are such that the school should provide related services to enable the child to benefit from instruction. A child identified as having a disability and receiving services under the IDEA will have an IEP.

For children with special dietary needs, the IEP may contain goals and objectives directly related to the child's dietary needs, such as feeding goals. In the related service area, the IEP may indicate what school health services the child needs when the special dietary needs are considered. In addition, the modifications and accommodations page of the IEP document should indicate any meal modifications for the child. Services that are necessary to enable the child to benefit from instruction must be written as a related service for the child. If the dietary needs interfere with the child's ability to benefit from instruction, a plan to address the child's special dietary needs is a related service included in the IEP. The CACFP facility must make the meal modifications indicated in the IEP.

An IHCP may be all that is necessary if the special dietary issues do not affect the child's education. When a child is neither eligible for special education nor qualifies under Section 504, an IHCP should be written to address the child's nutritional needs.

#### Other considerations

The recognized medical authority is not responsible for determining if a child qualifies as having a disability under Section 504 or if a child is eligible for special education under the IDEA. The PPT conducts the PPT meeting to determine a child's eligibility for special education under the IDEA. The Section 504 team conducts the Section 504 meeting to determine if a child has a disability.

A child's medical condition might not necessarily qualify as having a disability under Section 504 or the IDEA. However, it may qualify as a disability under the ADA Amendments Act, and may therefore require a reasonable meal modification when a recognized medical authority certifies the need.

The child's medical statement signed by a recognized medical authority identifies how the physical or mental impairment restricts the child's diet and explains what must be done to accommodate the child. If a recognized medical authority determines that a child's disability requires a meal modification, the CACFP facility must make a reasonable meal modification, even if:

- the child is not determined to have a disability under Section 504 or the IDEA; or
- the parent or guardian has not requested services under either of these laws.

For example, a food intolerance such as lactose intolerance or gluten intolerance is not considered to be a disability under Section 504 or the IDEA. However, under the ADA Amendments Act, a food intolerance may be considered to be a disability if it substantially limits digestion, a bodily function that is a major life activity. A child whose digestion is impaired by a food intolerance may be a person with a disability, regardless of whether consuming the food causes the child severe distress.



# **Medical Statement Requirements**

For children whose disability restricts their diet, the USDA requires that the medical statement to request meal modifications must include:

- information about the child's physical or mental impairment that is sufficient to allow the CACFP facility to understand how it restricts the child's diet;
- an explanation of what must be done to accommodate the child's disability; and
- if appropriate, the food or foods to be omitted and recommended alternatives.

In some cases, more information may be required. For example, if the child requires caloric modifications or the substitution of a liquid nutrition formula to accommodate a disability, the recognized medical authority should include this information in the medical statement. However, CACFP facilities cannot request medical records or medical charts related to a child's disability as part of the medical statement. The medical statement (or Section 504 plan or IEP, if applicable), addressing the three specific areas above required by the USDA, is the only document required for CACFP facilities to receive reimbursement for modified meals and snacks outside of the USDA's meal patterns. For more information, see "Medical information in IEP or 504 Plan" in section 2.

Medical statements should provide sufficient information to allow the CACFP facility to provide meals and snacks that are appropriate and safe for each child, and comply with the USDA's requirements. When necessary, CACFP facilities should work with the child's parent or guardian to obtain the required information. However, CACFP facilities should not deny or delay a requested meal modification because the medical statement does not provide sufficient information. For more information, see "Handling missing information" in this section.

The USDA does not require a medical statement for children with disabilities if the modified meals and snacks meet the CACFP meal patterns, such as meals modified only for texture (e.g., chopped, ground, or pureed foods) or meals that only substitute food items from the same component. Examples include substituting a banana for strawberries (fruits component) or chicken for cheese (meat/meat alternates component).

However, the CSDE recommends obtaining a medical statement to ensure clear communication between parents or guardians and the CACFP facility. This serves as a precaution to ensure clear communication about safe and appropriate meals and snacks for the child, protect the CACFP facility, and minimize misunderstandings. For more information, see "Medical Statement Requirements" in section 2.

#### CSDE's medical statement form

The CSDE's medical statement form and instructions assist CACFP facilities with obtaining the specific medical statement information required by the USDA. These documents are available in English and Spanish in the "Documents/Forms" section of the CSDE's Special Diets in CACFP Child Care Programs webpage, or the direct links below.

- Medical Statement for Meal Modifications in CACFP Child Care Programs (English): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFP.pdf
- Medical Statement for Meal Modifications in CACFP Child Care Programs (Spanish): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFP\_Spanish.pdf
- Guidance and Instructions for the Medical Statement for Meal Modifications in CACFP Child Care Programs (English): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFPinstr.pdf
- Guidance and Instructions for the Medical Statement for Meal Modifications in CACFP Child Care Programs (Spanish): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFPinstr\_Spanish.pdf

CACFP facilities that use an alternate form must include the three areas required by the USDA To protect children's privacy and confidentiality, the medical statement cannot require a specific diagnosis by name or use the term "disabled" or "disability."

#### Medical information in IEP or 504 plan

The CACFP facility does not need obtain a separate medical statement if the child has an IEP or 504 plan that includes the same information required by the USDA, or if the required information is obtained during the development or review of the IEP or 504 plan. Using a team approach can help CACFP facilities to ensure that the IEP or 504 plan will include the information needed to meet the USDA's requirements for the medical statement. Clear communication about the requirements for the medical statement can help reduce the burden for parents and guardians, food service personnel, and child care staff working to accommodate children with disabilities in the child care setting.

#### Assessing requests

CACFP facilities may consider expense and efficiency when choosing the most appropriate approach to accommodate a child's disability. The USDA does not require CACFP facilities to provide the exact substitution or other modification requested in the child's medical statement, such as a specific brand of food or nutrition supplement, unless it is medically necessary. However, CACFP facilities must work with the parent or guardian to offer a reasonable modification that effectively accommodates the child's disability, and provides equal opportunity to participate in or benefit from the CACFP.

For example, a child with an allergy to a specific ingredient found in a menu item might have a medical statement that requests a specific brand-name version as a substitute. Generally, the CACFP facility is not required to provide the identified brand-name item, but must offer a substitute that does not contain the specific allergen that affects the child. For more information, see "Specific Brands of Food" in this section.

When determining what constitutes an appropriate modification, CACFP facilities should consider the age, maturity, mental capacity, and physical ability of the child. For example, younger children may need greater assistance with selecting and eating their meals, whereas older children may be able to take a greater level of responsibility for some of their dietary decisions.

The USDA does not require CACFP facilities to make modifications that would result in a fundamental alteration to the nature of the CACFP, such as expensive meal modifications that would make continued operation of the CACFP unfeasible. The expense of a modification is measured against the total resources available to the individual CACFP center or family day care home.

For example, providing an expensive medical infant formula to accommodate an infant's disability may be so financially burdensome for a CACFP family day care home with one staff member that it would make operating the CACFP unfeasible, and consequently would fundamentally alter the nature of the CACFP. In this example, the CACFP family day care home is not required to provide the requested medical infant formula.

When CACFP facilities receive a very expensive meal modification request, they should first consider engaging in further dialogue with the child's parent or guardian. While CACFP facilities are not required to provide the exact substitution or other modifications requested, they must work with the parent or guardian to offer a reasonable modification that effectively accommodates the child's disability, and provides equal opportunity to participate in or benefit from the CACFP. Generally, the emphasis should be working collaboratively with parents or guardians to develop an effective approach for the child.

#### Handling missing information

CACFP facilities should not deny or delay a requested meal modification because the medical statement does not provide sufficient information. An example is a medical statement that does not provide recommended alternatives or fully explain the needed modification for the child. If the medical statement is unclear or lacks sufficient detail, the CACFP facility must obtain appropriate clarification so the child receives safe meals and snacks. When necessary, the CACFP facility should work with the child's parent or guardian to obtain an amended medical statement.

While waiting to obtain additional information, the CACFP facility must follow (to the greatest extent possible) the portion of the medical statement that is clear and unambiguous. An example is a medical statement that indicates a child experiences respiratory distress when consuming eggs, but does not identify recommended substitutes. In this case, the CACFP facility should not serve eggs to the child, while waiting for additional information regarding the specific substitutions. Clarification of the medical statement should not delay the CACFP facility from providing a reasonable meal modification for the child.

While waiting for the parent or guardian to submit additional information or a revised medical statement for a child whose dietary needs constitute a disability, the USDA allows CACFP facilities to claim reimbursement for modified meals and snacks that do not comply with the CACFP meal patterns. In this situation, the CACFP facility must document the initial conversation with the parent or guardian when they first learned of the child's need for a meal modification. The CACFP facility should follow up with the parent or guardian if they do not receive the requested medical statement as anticipated, and maintain a record of this contact. The CACFP facility should diligently continue to follow up with the parent or guardian until a medical statement is obtained or the request is rescinded.

#### Declining a request

If the meal modification request is related to the child's disabling condition, it is almost never appropriate for the CACFP facility to decline the meal modification. The exception is a modification request that would fundamentally alter the nature of the CACFP. Denying modifications under the fundamental alteration exception should not result in the denial of access to the CACFP or other benefits or services. Before using this exception, CACFP facilities should contact the CSDE for assistance with any concerns that a requested modification would fundamentally alter the nature of the CACFP. For more information, see "Assessing requests" in this section.

When considering a denial, the CACFP facility must first ensure that the decision is being made according to policy at the sponsor, state, and federal levels. A small agency or family day care home should coordinate these actions with their sponsoring organization, which has

procedural safeguards and grievance procedures in place. Any final decision regarding the modification request must be provided to the child's parent or guardian in writing. For more information, see "Procedural Safeguards" in section 5.

#### Stopping a request

If a child no longer needs a meal modification, the USDA does not require CACFP facilities to obtain written documentation from a recognized medical authority to rescind the original medical order prior to ending a meal modification. However, the USDA recommends that CACFP facilities maintain documentation when ending a child's meal modification. For example, before ending the meal modification, the CACFP facility could ask the child's parent or guardian to sign a statement or send an e-mail indicating their child no longer needs the meal modification.

#### Storage of medical statements

The CACFP facility should maintain all medical statements in a confidential manner with each child's medical records, such as physical forms. The CACFP facility may share copies of medical statements with food service personnel for the purposes of making appropriate meal modifications for each child.

For CACFP preschool programs operated by the board of education in the school setting, the CSDE recommends storing medical statements in the student's Cumulative Health Record (CHR) maintained by the school nurse. The CHR serves as the official student health record in Connecticut schools. It is recognized as a formal part of an educational record and must be maintained as such. The CHR provides a systematic way to organize the collection of student health information.



The school nurse may share copies of student medical statements with school food service personnel for the purposes of meal modifications for special dietary needs. The Family Educational Rights and Privacy Act (FERPA) allows the sharing of confidential student information when there is a legitimate educational interest, such as making meal modifications for special dietary needs. The school food service department should have access to this information to allow food service personnel to make appropriate meal modifications for each child.

### 2 Children with Disabilities

#### Updates of medical statements

The USDA's regulations do not specify time limits on medical statements or require CACFP facilities to obtain updated medical statements on a regular basis. However, when parents or guardians provide updated medical information, CACFP facilities must ensure that the medical statements on file reflect children's current dietary needs. Changes to diet orders must be in writing on a medical statement signed by a recognized medical authority (or updated in the child's IEP or Section 504 plan, if applicable).

Since a child's dietary needs may change over time, the CSDE strongly recommends that CACFP facilities develop a plan for ensuring that the dietary information on file is current. For example, a CACFP facility's policy could request an updated medical statement whenever a child:

- has a physical;
- transitions to a different site or program;
- requires a new meal modification; or
- requires a change to an existing meal modification.

CACFP facilities may require updates as necessary to meet their responsibilities. When establishing these requirements, the USDA recommends carefully considering if obtaining additional medical statements could create a burden for parents or guardians.

#### **Conflicting information**

If there is a conflict between the information in the child's medical statement and information provided either verbally or in writing by the child's parent or guardian, the CACFP facility should request a revised medical statement. For example, a medical statement indicates that a child's disability requires avoiding all foods containing lactose, but the parent tells a preschool teacher that her child can eat yogurt and cheese. In this situation, the CACFP facility should request a revised medical statement that clarifies the change in the meal modification, and is signed by the child's recognized medical authority. This ensures clear communication between parents or guardians and the CACFP facility regarding the appropriate meal modification for the child.

Updated information is important because the USDA requires that the CACFP facility must make a reasonable meal modification based on the instructions in the child's medical statement. The USDA does not allow food service personnel to diagnose health conditions, perform nutritional assessment, prescribe nutritional requirements, or interpret, revise, or change a diet order from a recognized medical authority.

### **Temporary Disabilities**

CACFP facilities must provide meal modifications for children with disabilities, regardless of whether the disability is permanent or temporary. The determination of whether a temporary impairment is a disability must be on a case-by-case basis, taking into consideration both the duration (or expected duration) of the impairment and the extent to which it actually limits a major life activity of the affected individual. If a child's condition is temporary, but severe and lasts for a significant duration, the CACFP facility must provide a reasonable modification for the duration of the condition. Examples of a temporary disability include:

- a child who had major oral surgery due to an accident and is unable to consume food for a significant period of time unless the texture is modified;
- a child who is on medication for several months, and the medication requires avoidance of certain foods; and
- a child who had knee surgery and uses crutches so they are unable to carry a lunch tray.

If a child has a temporary disability, the CACFP facility must make the requested meal modification, even though the child is not "permanently" disabled. However, temporary illness or injury, such as a cold, the flu, or a minor broken bone, are generally not considered to be conditions that require reasonable meal modifications.

# Same Meal

CACFP facilities are not required to provide a modified meal that is the same as the meal offered on the regular CACFP menu. The CACFP facility's responsibility is to serve the child a safe meal that accommodates the disability, not to serve the same meal. For example, if the regular lunch entree item is whole grain-rich (WGR) pasta with cheese, the CACFP facility is not required to prepare WGR pasta with lactose-free cheese for a child with lactose intolerance. The CACFP facility could meet the requirement for a reasonable modification by serving a different entree that meets the child's dietary needs (i.e., avoiding lactose), such as a turkey sandwich on WGR bread.



### **Specific Brands of Food**

CACFP facilities may consider expense and efficiency in choosing an appropriate approach to accommodate a child's disability. CACFP facilities must offer a reasonable modification that effectively accommodates the child's disability and provides equal opportunity to participate in or benefit from the CACFP. The USDA does not generally require CACFP facilities to provide the exact substitution or other modification requested in the child's medical statement, such as a specific brand of food or nutrition supplement, unless it is medically necessary. In most cases, a generic brand is sufficient. For more information, see "Assessing requests" in this section.

For example, a child's medical statement for a food allergy might request a specific brand of food as a substitute. The CACFP facility is generally not required to provide the requested brand of food, but must offer to provide a substitute that does not contain the specific allergen that affects the child. The meal substitution can include any brand or type of food that meets the child's specific dietary needs.

In situations where the requested substitute is very expensive or difficult to procure or obtain, it is reasonable for the CACFP facility to follow up with the parent or guardian to see if a different substitute would be safe and appropriate for the child. For example, if the medical statement lists a specific brand of gluten-free chicken patty, the CACFP facility could check with the child's parent or guardian to see if it would be safe and appropriate to provide a different gluten-free brand or a different gluten-free food item. For example, appropriate substitutes might include:

- a different brand of gluten-free chicken patty that meets the child's specific dietary needs;
- another type of chicken that meets the child's specific dietary needs, e.g., gluten-free grilled or baked chicken; or



• another type of food that meets the child's specific dietary needs, e.g., gluten-free hamburger or sliced turkey.

In this instance, the parent or guardian could affirm that the change meets the child's dietary needs.

### **Number of Alternate Meals**

The USDA does not require a specific number of alternate meals or snacks to meet the dietary needs of children with disabilities. CACFP facilities are obligated to offer children with disabilities a medically appropriate and reasonable meal modification, based on the medical statement signed by a recognized medical authority. Each request must be assessed on a case-by-case basis to determine the specific and appropriate modification for the individual child, including the number of alternate meals and snacks.

In certain cases, a child may have a restricted diet that requires the same modified meal or snack each day. However, most children will be able to eat a variety of modified meals and snacks over the week. Depending on the child's individual medical condition and the recognized medical authority's instructions, a reasonable modification could be offering:

- the same modified meal and snack that meets the child's specific dietary needs each time the child eats CACFP meals and snacks; or
- a cycle menu of modified meals and snacks that meet the child's specific dietary needs, based on input from the child's parent or guardian, medical professionals, and other appropriate individuals.

Whenever possible, the USDA encourages CACFP facilities to offer children with disabilities a variety of options over the week that is similar to the weekly variety of options offered to children without disabilities. To improve nutrition and increase variety, the CSDE encourages CACFP facilities to develop a cycle menu of modified meals and snacks that meet specific dietary needs, such as a five-day cycle menu for a gluten-free diet or a two-week cycle menu for a specific food allergy. Before using the same cycle menu for multiple children with the same medical condition, CACFP facilities should check with parents or guardians to ensure that the modified meals and snacks meet their child's specific dietary requirements.



### **Different Portion Sizes**

If a child with a disability has a medical statement requiring portion sizes that are different from the minimum quantity requirements in the CACFP meal patterns, the CACFP facility must provide the specified portions. Examples include:

- an additional amount of a specific meal pattern component in the meal, such as a second serving of the meat/meat alternates component or grains component;
- a smaller amount of food than the minimum portion size required in the CACFP meal patterns, such as 1 ounce of the meat/meat alternates component for ages 3-5 at lunch instead of the required 1<sup>1</sup>/<sub>2</sub> ounces of the meat/meat alternates component;
- requiring that a child receives two of the same meal, such as two lunches. **Note:** While the CACFP facility must provide the two meals prescribed by the recognized medical authority, the USDA's regulations require CACFP facilities to claim only one lunch per child per day.

The child's medical statement (or Section 504 plan or IEP, if applicable) must specify any requirements for different portion sizes.

# **Texture Modifications**

Unless otherwise specified by the recognized medical authority, meals and snacks modified for texture (such as chopped, ground, or pureed) should consist of the same food items and quantities specified in the regular CACFP menus. CACFP facilities should work with appropriate staff (such as the health consultant or registered dietitian consultant for licensed child care centers or the sponsoring organization for family day care homes) to provide center staff and family day care providers with proper training on pureeing foods and any additional auxiliary aids or services (including necessary equipment) to implement texture modifications.



CACFP facilities cannot make changes or substitutions to the original texture modification request in a child's medical statement without consulting the child's parent or guardian. For example, if the medical statement for a preschooler with a disability requests pureed food, the CACFP facility cannot substitute baby food unless it is appropriate for the child and effectively accommodates the child's specific dietary needs. In this example, the CACFP facility cannot serve baby food as an alternative to pureeing the regular CACFP menu unless the parent or guardian agrees, and a revised medical statement confirms that baby food is an appropriate modification to meet the child's specific dietary needs.

As with all meal modifications, continued communication between the CACFP facility and the child's parent or guardian is essential to ensure that children with a disability receive an appropriate texture modification. All texture modifications for children whose disability restricts their diet must be made on a case-by-case basis, i.e., specific to the individual medical condition and dietary needs of each child. An appropriate texture modification for one child might not be appropriate for another child.

Medical statements are not required when texture is the only meal modification. CACFP facilities may apply stricter guidelines and require that a medical statement must be on file concerning the needed texture modifications. The CSDE recommends obtaining a medical statement to ensure clear communication about safe and appropriate meals and snacks for the child, protect the CACFP facility, and minimize misunderstandings.

As with other dietary substitutions, the USDA does not provide additional reimbursement for texture-modified meals and snacks. If a child must have a pureed meal or snack, it is reasonable to use CACFP funds to purchase a blender or food processor and to have the meal prepared by food service personnel. For more information on texture modifications, see the CSDE's publication, *Guidelines for Feeding and Swallowing Programs in Schools*.

### **Tube Feedings**

If a child is determined under Section 504 to have a disability that requires tube feedings, the child's Section 504 plan will include feeding and swallowing as a component. Feeding and swallowing disorders are not a disability category in the IDEA. Therefore, if a child is determined to have a disability under the IDEA, the PPT will include feeding and swallowing as a related service of the child's IEP.

With appropriate documentation on the medical statement (or Section 504 plan or IEP, if applicable), CACFP facilities can use CACFP funds for the cost of tube feeding formulas that are required as meal substitutions. If the child has an IEP, special education funds may cover the cost of commercial tube feeding formulas and special personnel.

The USDA recommends using commercial nutrition formulas prescribed by a recognized medical authority and specially designed for tube feedings. Formula prepared on site may be subject to spoilage, and might not always have the correct consistency or nutrition content. Proper administration of this type of feeding generally requires the skills of specially trained personnel, such as nurses or specially trained aides who regularly work with the child. For more information on tube feedings, see the CSDE's publication, *Guidelines for Feeding and Swallowing Programs in Schools*.

### **Administering Feedings**

In CACFP child care centers, food service personnel are responsible for providing modified meals and snacks for children with disabilities, but they are not responsible for physically feeding the children. CACFP facilities should be aware of the potential liability if staff members without sufficient training and direction are performing tasks or activities such as developing or modifying a diet order prescribed by a recognized medical authority or administering tube feedings. Proper administration of this type of feeding generally requires the skills of specially trained personnel, such as nurses or trained aides who regularly work with the child.

### Meal Services Outside of CACFP

The general guideline in making accommodations is that children with disabilities must be able to participate in and receive benefits from programs that are available to children without disabilities. CACFP facilities are not required to provide meal services to children with disabilities when the meal service is not normally available for all children. For example, a CACFP facility that does not serve breakfast is not required to provide breakfast for children with disabilities.

However, if a child with a disability has an IEP that requires a meal that the CACFP facility does not provide, the CACFP facility must provide the meal service at no cost to the family and may charge the cost to the CACFP or other appropriate funding sources. The IDEA requires that any nutrition-related services included in a child's IEP that are deemed necessary for the child to receive a free appropriate public education must be provided at public expense, and at no cost to the child's family.

#### Special foods or nutrition supplements

If the medical statement (or Section 504 plan or IEP, if applicable) documents that special foods or nutrition supplements are medically necessary for a child with a disability, the CACFP facility is generally required to provide them as part of reimbursable meals and snacks. In some cases, other funding sources may be available to cover these costs. For more information, see "Allowable Costs" in section 1.

The USDA does not require CACFP facilities to make modifications that would result in a fundamental alteration to the nature of the CACFP, such as expensive meal modifications that would make continued operation of the CACFP unfeasible. For more information, see "Assessing requests" in this section.

The CACFP facility is not required to pay for other servings of special foods or nutrition supplements throughout the day **outside** of reimbursable meals and snacks, unless the child has an IEP that requires them. If the IEP includes special foods or nutrition supplements outside of normal mealtimes, the CACFP facility must provide them at no cost to the family and may charge the cost to the CACFP or other appropriate funding sources.

### **Nutrition Information**

The USDA considers providing nutrition information for foods served in CACFP meals and snacks to be a component of reasonable accommodations for special diets. The CACFP facility is responsible for making nutrition information for CACFP meals and snacks available to children (when age appropriate), parents or guardians, medical professionals, and appropriate staff, as needed. For example, CACFP facilities could provide nutrition information on CACFP menus and their website, and maintain a binder of nutrition labels in the office for anyone to review. This enables parents or guardians, in consultation with medical professionals, to determine the appropriate meals and snacks for their children's specific dietary concerns.



For example, if a child has a life-threatening food allergy, the CACFP facility must provide information on the ingredients for foods served in CACFP meals and snacks. This information allows parents or guardians to determine which meals and snacks are safe to eat, and which meals and snacks the CACFP facility must modify to prevent an allergic reaction.

If a product's label does not provide adequate nutrition information, the CACFP facility is responsible for obtaining the necessary information to ensure safe meals and snacks for the child. The CACFP facility should contact the product's supplier or manufacturer to obtain the required nutrition information.

When parents or guardians require nutrition information for CACFP meals and snacks, the CSDE recommends providing a monthly menu several weeks in advance. This enables parents or guardians to determine which CACFP meals and snacks require modifications. It also allows sufficient time for the CACFP facility to gather nutrition information to share with parents or guardians, and the CACFP staff who purchase, prepare, and serve food to children. As a reminder, a best practice is to develop cycle menus for common special diets, such as gluten free, diabetic, and specific food allergies. For more information, see "Number of Alternate Meals" in this section.

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When the CACFP facility obtains meals or snacks through a food service management contract or a school food agreement with a board of education, the food service contract should address the requirement for providing nutrition information for CACFP meals and snacks. For more information, see "Procured Meals" in section 4.

### **Carbohydrate Counts**

CACFP facilities are responsible for providing a carbohydrate count to the parent or guardian of a diabetic child for each food item served in each daily reimbursable meal and snack. The CACFP food service program is responsible for providing information on the initial weights or measures of the planned food for the meal or snack. If the daily menu includes multiple meal or snack choices, CACFP facilities are not required to provide carbohydrate counts for each meal or snack option. For resources on diabetes, see the American Diabetes Association website and "Diabetes" in the CSDE's handout, *Special Diets Resource List*.

### **Food Allergy**

A food allergy is a hypersensitivity from an abnormal response of the body's immune system to food or food additives that the body would otherwise consider harmless. While almost any food can trigger an allergic reaction, eight foods cause the majority of reactions. These include milk, eggs, peanuts, tree nuts (e.g., almonds, cashews, pistachios, pecans, walnuts, and hazelnuts), wheat, fish, shellfish (e.g., crab, lobster, and shrimp), and soy. These foods account for 90 percent of food allergic reactions, and are the food sources from which many other ingredients are derived. In addition, sesame is increasingly recognized as a top food allergy.

Under the ADA Amendments Act, a food allergy does not need to be life threatening or cause anaphylaxis to be considered a disability. A non-life-threatening food allergy may be considered to be a disability and require a meal modification if it impacts a major bodily function or other major life activity, such as digestion, respiration, immune response, and skin rash. If a recognized medical authority determines that a food allergy is a disability for a particular child, the CACFP facility must make a reasonable meal modification based on the child's medical statement.

The CACFP facility must provide the child with safe meals and snacks, and a safe environment to consume them. Food service personnel must ensure that modified meals and snacks meet each child's prescribed guidelines, and are free of all ingredients suspected of causing an allergic reaction. The CACFP facility must use proper storage, preparation, and cleaning techniques to prevent exposure to allergens through cross-contact. Cross-contact occurs when allergen-containing ingredients are transferred to allergy-free food by hands, food-contact surfaces, sponges, cloth towels, or utensils. Sometimes it is advisable to prepare a separate meal from scratch using ingredients allowed on the special diet, instead of serving a meal using processed foods. The general rule in these situations is to exercise caution at all times. If a food's ingredients are unknown, CACFP facilities cannot serve the food to children who are at risk for allergic reactions.

CACFP facilities must implement procedures to ensure that meals and snacks prepared for children with food allergies are safe. For children with life-threatening food allergies, this includes the development of a food allergy action plan. This plan addresses prevention and emergency response, and is prepared in consultation with the child's parent or guardian, recognized medical authority, and appropriate staff, such as the registered dietitian consultant or health consultant for licensed child care centers.

#### Food allergy resources

The resources below provide guidance on managing food allergies. **Note:** Some of these resources were developed for schools, but also provide applicable guidance for child care settings.

- Allergies and Food Sensitivities (USDA): https://www.nal.usda.gov/fnic/allergies-and-food-sensitivities
- Avoiding Cross-Contact (FARE): https://www.foodallergy.org/resources/avoiding-cross-contact
- Food Allergen Labeling and Consumer Protection Act of 2004 (FALCPA) Questions and Answers (FDA): https://www.fda.gov/food/food-allergensgluten-free-guidance-documentsregulatory-information/food-allergen-labeling-and-consumer-protection-act-2004questions-and-answers
- Food Allergies for School Nutrition Directors (Institute of Child Nutrition): https://theicn.org/icn-resources-a-z/food-allergies-for-school-nutrition-directors/
- Food Allergy Fact Sheets (Institute of Child Nutrition): https://theicn.org/icn-resources-a-z/food-allergy-fact-sheets
- Food Allergy Research & Education (FARE): http://www.foodallergy.org/
- How to Read a Food Label (FARE): https://www.foodallergy.org/resources/how-read-food-label

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- Managing Food Allergies in the Cafeteria: The Role of School Food Service Managers and Staff (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/NSLP/SpecDiet/FoodAllergyPresentation.pdf
- Managing Food Allergies: School Nutrition Directors Fact Sheet (ICN): https://theicn.org/resources/166/food-allergy-fact-sheets/111863/managing-foodallergies-school-nutrition-directors-fact-sheet-2.pdf
- Managing Food Allergies: School Nutrition Staff Fact Sheet (ICN): https://theicn.org/resources/166/food-allergy-fact-sheets/111862/managing-foodallergies-school-nutrition-staff-fact-sheet-2.pdf
- School Tools: Allergy & Asthma Resources for Families, Clinicians and School Nurses (American Academy of Allergy, Asthma & Immunology): https://www.aaaai.org/conditions-and-treatments/school-tools
- Standard Operating Procedure (SOP): Serving Safe Food to Students with Food Allergies (ICN): https://theicn.org/resources/181/food-safety-standard-operatingprocedures/105719/serving-safe-food-to-students-with-food-allergies-3.docx
- Tips for Avoiding Your Allergen (FARE): https://www.foodallergy.org/resources/tips-avoiding-your-allergens
- Voluntary Guidelines for Managing Food Allergies in Schools and Early Care and Education Programs (CDC): https://www.cdc.gov/healthyschools/foodallergies/pdf/13\_243135\_A\_Food\_Allergy \_Web\_508.pdf

For more information, see "Food Allergies" under the "Related Resources" section of the CSDE's Special Diets in CACFP Child Care Programs webpage, and the "Food Allergies" section of the CSDE's handout, *Special Diets Resource List*.

### **Food Intolerance**

A food intolerance is an adverse food-induced reaction that does not involve the body's immune system. Examples lactose intolerance and gluten intolerance. Under the ADA Amendments Act, a food intolerance may be considered to be a disability if it substantially limits digestion, a bodily function that is a major life activity. For example, a child whose digestion is impaired by lactose intolerance may be a person with a disability, regardless of whether consuming milk causes the child severe distress.

If a recognized medical authority determines that a food intolerance is a disability for a particular child, the CACFP facility must make a reasonable meal modification based on the child's medical statement signed by a recognized medical authority. CACFP facilities must review each child's situation on a case-by-case basis, i.e., specific to the individual medical condition and dietary needs of each child.

# **Gluten Sensitivity**

Gluten sensitivity (also called gluten intolerance) is a condition with symptoms similar to those of celiac disease that improve when gluten is eliminated from the diet. Gluten sensitivity is a diagnosis of exclusion that requires ruling out celiac disease and wheat/gluten allergy, followed by a period of dietary gluten exclusion to see if the patient gets better, then a gluten challenge to see how the patient reacts. Individuals diagnosed with gluten sensitivity do not experience the small intestine damage found in celiac disease.

Under the ADA Amendments Act, a food intolerance or sensitivity may be considered to be a disability if it substantially limits digestion, a bodily function that is a major life activity. A child whose digestion is impaired by gluten sensitivity may be a person with a disability, regardless of whether consuming gluten-containing foods causes the child severe distress.

If a recognized medical authority determines that gluten sensitivity is a disability for a particular child, the CACFP facility must make a reasonable meal modification based on the medical statement. CACFP facilities must review each child's situation on a case-by-case basis, i.e., specific to the individual medical condition and dietary needs of each child.

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#### **Celiac Disease**

Celiac disease is a genetic autoimmune digestive disease that damages the small intestine and interferes with the absorption of nutrients from foods. Individuals with celiac disease cannot tolerate gluten, a protein found in wheat, rye, and barley. The treatment for celiac disease is to avoid all foods that contain gluten, including wheat, rye, barley, and any foods made with these grains.

Under the ADA Amendments Act, celiac disease qualifies as a disability because it limits the major life activity of digestion. If a child has celiac disease, the CACFP facility must make a reasonable meal modification based on the medical statement signed by a recognized medical authority.

Many processed foods contain gluten unless they are labeled "gluten-free" or are made with corn, rice, soy, or other gluten-free grains. Foods that are likely to contain gluten include:

- breads and bread products, e.g., pizza crust and muffins;
- pasta and couscous;
- grain-based desserts, such as cookies, cakes, and pies;
- breakfast cereals;
- crackers and snacks, e.g., pretzels, snack mix, pita chips, and croutons;
- seasoned snack foods, e.g., potato and tortilla chips;
- processed luncheon meats;
- soups and soup bases; and
- salad dressings and sauces, including soy sauce.

Table 2 shows examples of foods to avoid and allow with celiac disease. **Note:** This information provides general guidance on foods with and without gluten. When making meal modifications for celiac disease, CACFP facilities must make a reasonable meal modification based on each child's medical statement signed by a recognized medical authority. CACFP facilities must review each child's situation on a case-by-case basis, i.e., specific to the individual medical condition and dietary needs of each child.



Avoid	Allow <sup>2</sup>		
Barley (malt, malt flavoring, and malt vinegar are usually made from barley) Rye Triticale (a cross between wheat and rye) Wheat <ul> <li>Dextrin</li> <li>Dextrin</li> <li>Durum flour</li> <li>Farina</li> <li>Graham flour</li> <li>Kamut</li> <li>Modified food starch</li> <li>Semolina</li> <li>Spelt</li> <li>Wheat germ</li> <li>What bran</li> </ul> Processed foods unless labeled "gluten-free" or made with corn, rice, soy, or other gluten-free grain	<ul> <li>Beans, seeds, and nuts in their natural, unprocessed form</li> <li>Fresh eggs</li> <li>Fresh meats, fish, and poultry (not breaded, batter-coated, or marinated)</li> <li>Fruits and vegetables</li> <li>Most dairy products</li> <li>Gluten-free grains <ul> <li>Amaranth</li> <li>Arrowroot</li> <li>Buckwheat</li> <li>Corn flour and cornmeal</li> <li>Flax</li> <li>Gluten-free flours (rice, soy, corn, potato, bean)</li> <li>Hominy (corn)</li> <li>Millet</li> <li>Oats <sup>3</sup></li> <li>Quinoa</li> <li>Rice</li> <li>Sorghum</li> <li>Soy</li> <li>Tapioca</li> <li>Teff</li> </ul> </li> </ul>		

Table 2. Examples of foods to avoid and allow with celiac disease $^1$
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- <sup>1</sup> The CACFP facility must make appropriate meal modifications on a case-by-case basis, according to each child's medical statement signed by a recognized medical authority.
- <sup>2</sup> These foods are acceptable if they are not processed or mixed with gluten-containing grains, additives, or preservatives.
- <sup>3</sup> Oats must be labeled "gluten-free." Pure oats are a gluten-free food, but most commercially processed oats have been contaminated during the growing, harvesting, or processing stages.

For more information and resources, see "Celiac Disease" in the CSDE's handout, Special Diets Resource List.

#### Autism

Autism is considered to be a disability under the ADA, Section 504, and USDA's nondiscrimination regulations. Autistic children may require a reasonable meal modification if their autism substantially limits a major life activity such as eating.

CACFP facilities must review each child's situation on a case-by-case basis, since one child's autism diagnosis may not have the same issues as another child's autism diagnosis. Having an autism diagnosis does not automatically qualify a child for meal modifications. Children with autism might not have a medical dietary condition. However, autism sometimes results in food behaviors and preferences that require specific meal modifications. For example, some children with autism have repetitive and ritualistic behavior patterns and will only eat certain foods. Others may be very sensitive to food textures and will only eat foods with a smooth texture.

Any physical or mental impairment that prevents a child from consuming a meal is considered to be a disability. For some autistic children, it is reasonable to view the autism diagnosis as a dietary restriction that is part of their disability. If a recognized medical authority determines that a dietary restriction is part of a child's autism diagnosis, the CACFP facility must provide a reasonable meal modification based on the child's medical statement (or Section 504 plan or IEP if applicable).

An example of an autism diagnosis that could require a meal modification is an autistic child who has a severe aversion to fruits and vegetables. If a recognized medical authority supports the elimination of the fruits component and vegetables component due to the child's autism, the CACFP facility must provide meals and snacks that do not contain fruits or vegetables. The USDA allows reimbursement for these modified meals.



In this example, it would be beneficial for the CACFP facility to consult with the child's parent or guardian, or recognized medical authority, to gain a better understanding of the child's autism disability relating to food aversions, and to determine if it is necessary to provide additional calories for the child in the absence of fruits and vegetables. The USDA recommends collecting as much information as possible regarding the child's condition to better meet the child's nutrition needs. This information will also assist the CACFP facility with making appropriate meal modifications.

### Food Preference versus Disability

The federal nondiscrimination laws and CACFP regulations require CACFP facilities to make reasonable meal modifications to accommodate children whose disability restricts their diet. CACFP facilities meet this requirement if they provide appropriate meal alternatives to accommodate a child's dietary restriction resulting from a disability. CACFP facilities are not required to provide additional alternatives based on personal preferences.

For example, a CACFP facility provides a reasonable modification for a diabetic child by offering a two-week cycle lunch menu that includes carbohydrate counts. The child does not like any of the choices and refuses the offered meals due to personal food preferences. The CACFP facility is not required to provide additional alternatives based on the child's personal food preferences because the cycle menu meets the USDA's requirement for a reasonable meal modification.

As reminder, CACFP facilities are obligated to offer children with disabilities a medically appropriate and reasonable meal modification based on the medical statement signed by a recognized medical authority. However, CACFP facilities are generally not required to provide the specific modification requested in the medical statement, although the specific modification may often be provided. Additionally, CACFP facilities are generally not required to provide a specific brand of food, unless it is medically necessary. For more information, see "Assessing Requests," "Number of Alternate Meals," and "Specific Brands of Food" in this section.



### Milk Substitutes for Disabilities

When a child has a medically documented disability that requires a milk substitute or a type of milk that does not comply with the CACFP meal pattern requirements, the CACFP facility must provide an appropriate substitute based on the child's medical statement signed by a recognized medical authority. The medical statement must include:

- information about the child's physical or mental impairment that is sufficient to allow the CACFP facility to understand how it restricts the child's diet; and
- an explanation of what must be done to accommodate the child's disability, e.g., the type of milk to be omitted from the child's diet and the beverage that should be substituted.

If cow's milk causes any digestive problems, the child's condition is considered to be a disability under the ADA Amendments Act, and requires a substitute. CACFP facilities must make the substitution if the child has a medically documented disability that requires an alternative to milk, such as juice, water, or a nondairy beverage, e.g., soy milk.

#### Fat content

If a child has a medically documented disability that requires milk with a fat content that does not comply with the CACFP meal patterns, the CACFP facility must provide an appropriate substitute based on the medical statement signed by a recognized medical authority. An example is a medical statement signed by a recognized medical authority that indicates a five-year-old child's disability requires whole milk instead of low-fat milk.

#### Nondairy milk substitutes

If a child has a medically documented disability that requires a milk alternative, such as soy milk, the CACFP facility must provide an appropriate substitute based on the medical statement signed by a recognized medical authority. Nondairy milk substitutes for children with disabilities are not required to comply with the USDA's nutrition standards for milk substitutes (see table 4 in section 3).

CACFP facilities are generally not required to provide a specific brand of nondairy milk substitute. In most cases, a generic brand is sufficient. The CACFP facility must work with the parent or guardian to offer a reasonable modification that effectively accommodates the child's disability and provides equal opportunity to participate in or benefit from the CACFP. For more information, see "Specific Brads of Food" in this section.



In situations where the requested milk substitute is very expensive or difficult to obtain, it would be reasonable for the CACFP facility to follow up with the child's parent or guardian to see if a different substitute would be safe and appropriate. For example, if the medical statement lists a specific brand of nondairy milk substitute, the CACFP facility could check with the parent or guardian to see if it would be safe and appropriate to provide a different brand for the child. For more information, see "Assessing Requests" in this section.

# **Identifying Children**

When determining how to identify children during the meal service who require modified meals and snacks due to a disability, the CACFP facility's policies and practices must protect the privacy of children who have a disability, and must maintain the confidentiality of each child's medical condition. The HIPAA requires that medical information is kept confidential, including medical information related to a child's disability.



For CACFP facilities in the school setting, the FERPA allows the sharing of confidential information (such as medical statements) between appropriate child care staff (such as health consultants and food service personnel) when there is a legitimate educational interest, which includes meal modifications for students whose disability restricts their diet. However, CACFP facilities cannot make confidential information available to individuals who do not have a legitimate educational interest.

CACFP facilities cannot implement policies or practices that outwardly identify children whose disability requires a meal modification. This includes practices such as posting lists of children in public areas or asking children (or their parents or guardians) to consent to a physical designation, such as wearing a lanyard, bracelet, pin, sticker, or similar item. These types of practices:

- impinge upon the privacy and confidentiality of a child's disability status and medical information;
- are inconsistent with the CACFP facility's duty to keep children's disability and medical information confidential; and
- provide the potential for stigma for children with disabilities.

If a child, without being asked by the CACFP facility, chooses to self-identify with a physical designation such as a lanyard or similar item (or the parent or guardian requests a physical

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designation for their child), this is less of a privacy concern and is acceptable because the child (or parent or guardian) is voluntarily engaging in the physical designation. This differs from an unacceptable policy that routinely uses a physical designation and asks children (or parents or guardians) to agree to use it. Under the federal laws that require CACFP facilities to maintain children's confidentiality, the child (or parent or guardian) can choose to self-identify, but the CACFP facility cannot outwardly identify the child or ask the child (or parent or guardian) to agree to outward identification.

#### Acceptable practices

The USDA has identified several acceptable practices to identify children with disabilities during the meal service. These practices avoid outward designation and maintain children's confidentiality by focusing on identifying meals, not children.

- Conduct a daily pre-service meeting with all applicable staff to review the CACFP menu and identify any menu items that should be avoided for certain dietary restrictions, such as food allergies, lactose intolerance, and gluten intolerance.
- Provide regular updates to staff for each child whose disability requires a meal modification. Post this information in locations that are only visible to applicable staff, such as food service personnel, staff who supervise CACFP meals and snacks, and family day care providers. For example, a list of children with food allergies could be posted in the kitchen for food service staff to review.
- Use different colored plates or trays to identify meals and snacks that meet specific dietary criteria, e.g., nut-free meals and snacks on blue plates and gluten-free meals and snacks on red plates.
- If appropriate to the CACFP facility's logistics and children's developmental stages, use colored tags or labels, placards, or similar signage near each food item to identify each food item's dietary criteria, such as lactose-free, nut-free, and gluten-free.
- Maintain ongoing communication with parents and guardians (such as parent meetings, e-mails, and newsletters) to explain the CACFP facility's procedures for meal modifications, CACFP menus, and ensuring allergen-free meals and snacks. For more information, see "Communicating with parents and guardians" in section 1.

The general guideline in identifying children whose disability requires a meal modification is to ensure that the CACFP facility's policies and practices protect students' privacy and maintain the confidentiality of each student's medical condition. Federal laws do not allow CACFP facilities to ask children or their parents or guardians to relinquish confidential medical information through outward identification.

### **Appropriate Eating Areas**

Federal civil rights legislation, including Section 504 of the Rehabilitation Act of 1973, the IDEA, and Titles II and III of the ADA, requires that in providing nonacademic services, including meals, schools and institutions must ensure that children with disabilities participate along with children without disabilities to the maximum extent appropriate. This allows children to interact with and learn from other children with backgrounds different from their own.

The USDA's nondiscrimination regulations (7 CFR 15b.40 (b)) require that meal services must be provided in the most integrated setting appropriate to the needs of children with disabilities. Exclusion of any child with a disability from the area where meals are served is not considered an appropriate or reasonable modification. For example, a child with a disability cannot be excluded from the area where meals are served and required to sit in another room during the meal service.

Under some circumstances it may be appropriate to require children with certain special needs to sit at a separate table. For example, if a child requires significant assistance from an aide to consume their meals, it may be necessary for the child and the aide to have more space during the meal service.

Additionally, CACFP facilities may determine that a separate, more isolated eating area would be best for children with severe food allergies. The separate eating area may be:

- a designated table in the meal service area that is cleaned according to food safety guidelines (to eliminate possible cross-contact of allergens on tables and seating); or
- an area away from the eating area, where children can safely consume their meals.

Prior to developing a special seating arrangement, the CACFP facility should determine, with input from the child's parent or guardian and recognized medical authority, if this type of seating arrangement would truly be helpful for the child. If the CACFP facility develops a special seating arrangement, other children should be permitted to join the child, provided they do not bring any foods that would be harmful to the child.

CACFP facilities cannot segregate children with disabilities from the regular meal service simply as a matter of convenience. In addition, it is not appropriate to simultaneously use a separate table to segregate children who are being punished for misconduct. In all cases, the decision to feed children with disabilities separately must always be based on what is appropriate to meet their needs.

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### **Banning Foods**

Universal exclusion of specific foods or food groups is not USDA policy, but could be appropriate for an individual CACFP facility depending on local circumstances. However, if a CACFP facility chooses to enact a universal ban, the specific allergen must **never** be present in the child care environment, since families will assume the CACFP facility is a safe place for their child based on the stated ban. The following guidance is adapted for child care from the CSDE's publication, *Guidelines for Managing Life-threatening Food Allergies in Connecticut Schools*.

Universal bans of specific foods might not render the child care environment safe because there is no method for ensuring that the allergenic food does not inadvertently enter child care grounds. Bans can create a false sense of security, which can lead to less responsible approaches to effective management strategies, education, and emergency responses. Banning offending foods detracts from the CACFP facility's responsibility to plan properly for children with life-threatening food allergies, and to educate all child care staff accordingly.

Bans may also limit the opportunity (when developmentally appropriate) to teach children with allergies to take care of themselves in environments where they may be exposed to allergens at any time. Additionally, banning can be problematic in terms of defining the limits. While it may mean the banning of peanuts for some children, it could also mean the banning of all nuts, milk, wheat, or another food item for other children.



CACFP facilities need to consider how to develop a plan that over time will best meet the needs of all children, and prepare them for self-management and advocacy as they transition within and beyond child care to the school setting. Options may include:

- establishing allergen-free zones, such as a child's individual classroom;
- establishing allergen-free tables or areas in the eating environment;
- establishing food-free zones, such as common play areas; and
- enforcing relevant child care policies, such as prohibiting eating on buses.

The privacy needs and preferences of individual children should be considered in determining appropriate plans. Not all parents or guardians will want their children to use an allergen-free zone during the child care day.

# 3 — Modifications for Children without Disabilities

CACFP facilities have the option to make meal modifications on a case-by-case basis for children whose dietary needs do not constitute a disability. However, the USDA does not require these accommodations. Examples of optional modifications include requests related to religious or moral convictions, general health concerns, and personal food preferences, such as parents who prefer that their children eat a gluten-free diet or organic foods because they believe it is healthier.

Modified meals and snacks served to children without disabilities must always comply with the CACFP meal patterns. For more information, visit the CSDE's Meal Patterns for CACFP Child Care Programs webpage and review the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*.

The USDA does not require a medical statement for modified meals and snacks that meet the CACFP meal patterns. These meals and snacks are eligible for reimbursement regardless of whether the CACFP facility obtains a medical statement. However, the CSDE recommends obtaining a medical statement for optional modifications to ensure clear communication between parents or guardians and the CACFP facility about the appropriate modifications for the child. This serves as a precaution to ensure safe and appropriate meals and snacks for the child, protect the CACFP facility, and minimize misunderstandings.

CACFP facilities can use the CSDE's medical statement form, *Medical Statement for Meal Modifications in CACFP Child Care Programs*, to collect information for making meal modifications for children without disabilities. The form and instructions are available in English and Spanish in the "Documents/Forms" section of the CSDE's Special Diets in CACFP Child Care Programs webpage.



### **Milk Substitutes without Disabilities**

The CACFP meal patterns for children require unflavored whole milk for age 1 and unflavored low-fat (1%) or fat-free milk for ages 2 and older. CACFP facilities may serve flavored fat-free milk to ages 6 and older, but the USDA's *CACFP Best Practices* recommends serving only unflavored milk. Table 3 summarizes the types of milk allowed for each age group in the CACFP meal patterns for children.

Table 3. Allowable types of milk in the CACFP meal patterns for children					
Type of milk	Age 1	Age 2	Age 3-5	Age 6-12	Ages 13-18 (At-risk afterschool programs and emergency shelters)
Whole, unflavored	~	One month <sup>1</sup>			
Whole, flavored					
Reduced-fat (2%), unflavored		One month <sup>1</sup>			
Reduced-fat (2%), flavored					
Low-fat (1%), unflavored					
Low-fat (1%), flavored					
Fat-free (skim), unflavored		~	✓	✓	✓
Fat-free (skim), flavored				✓ <sup>2</sup>	✓ <sup>2</sup>

<sup>1</sup> Unflavored whole milk and unflavored reduced-fat milk can only be served during a **one-month transition period** when switching a 24-month-old child from whole milk to low-fat or fat-free milk. For example, a CACFP facility can help ease the transition by adding a small amount of reduced-fat milk to whole-milk, then gradually changing to low-fat or fat-free milk mixed with whole milk, and increasing the amount over time.

<sup>2</sup> The USDA's CACFP Best Practices recommends serving only unflavored milk.

For children without disabilities, reimbursable CACFP meals and snacks cannot include milk that does not comply with these requirements, even with a medical statement signed by a recognized medical authority. For example, meals and snacks for ages 3-5 cannot include whole milk or flavored low-fat or fat-free milk. The USDA does not allow any types of milk that do not comply with the CACFP meal patterns, unless the child has a medically documented disability. For more information, see "Milk Substitutes for Disabilities" in section 2.

CACFP facilities may choose, but are not required, to offer one or more allowable milk substitutes for children whose dietary needs do not constitute a disability. The two types of allowable substitutes for children without disabilities include:

- lactose-reduced or lactose-free milk with the appropriate fat content for each age group (see table 3); and
- nondairy beverages that meet the USDA's nutrition standards for fluid milk substitutes (see table 4), such as certain brands of soy milk.

The USDA does not provide additional reimbursement for CACFP facilities that choose to provide these substitutions.

#### Required documentation for milk substitutes

Milk substitutions for children without disabilities do not require a medical statement from a recognized medical authority. Parents or guardians may request a nondairy milk substitute in writing. For example, the parent of a vegetarian child can submit a written request asking the CACFP facility to substitute an allowable brand of soy milk for cow's milk. An allowable brand is one that meets the USDA's nutrition standards for fluid milk substitutes (see table 4). **Note:** Except for allowable nondairy milk substitutes, any other menu substitutions for vegetarian diets must meet the CACFP meal patterns. For more information, see "Vegetarians" in section 4.

The written request must identify the medical or other special dietary need that restricts the child's diet. CACFP facilities must maintain parent or guardian requests for milk substitutes on file with children's medical records. For more information, see "Storage of medical statements" in section 2.

The USDA's provision allowing a written request from parents or guardians applies only to milk substitutes for children without disabilities. It does not apply to any other substitutions of foods or beverages in CACFP meals and snacks for children without disabilities.

### 3 Children without Disabilities

#### Lactose-reduced and lactose-free milk

Lactose-reduced milk has part of the lactose removed, while lactose-free milk has all of the lactose removed. Like regular milk, these types of milk come in a variety of flavors and fat contents, such as fat-free (skim), low-fat, and whole.

Lactose-reduced and lactose-free milk credit as the milk component in the CACFP meal patterns. CACFP facilities can substitute lactose-reduced and lactose-free milk (with the appropriate fat content for each age group) for regular milk, without a written statement from a parent or guardian. The CSDE encourages CACFP facilities to make lactosereduced or lactose-free milk available to children as needed.



#### Additional milk requirements for child care programs in public schools

In addition to meeting the CACFP meal patterns, lactose-reduced and lactose-free milk served by child care programs located in public schools must meet the state beverage requirements of C.G.S. Section 10-221q. Milk cannot contain more than 4 grams of sugar per ounce and cannot contain artificial sweeteners. Lactose-reduced and lactose-free milk that does not meet the USDA and state requirements cannot be served as part of or separately from reimbursable CACFP meals and snacks. Products that meet the USDA and state requirements for milk are included in list 16 on the CSDE's List of Acceptable Foods and Beverages webpage.

#### Acceptable nondairy beverages for milk substitutes

The USDA's regulations allow CACFP facilities to offer nondairy milk substitutes that meet the USDA's nutrition standards for fluid milk substitutes (see table 4). Nondairy milk substitutes for ages 1-5 must be unflavored. CACFP facilities may serve flavored nondairy milk substitutes to ages 6 and older, but the USDA's *CACFP Best Practices* recommends serving only unflavored nondairy milk substitutes.

The USDA's nutrition standards require that milk substitutes must be nutritionally equivalent to fluid milk and provide specific levels of calcium, protein, vitamins A and D, magnesium, phosphorus, potassium, riboflavin, and vitamin  $B_{12}$ . This ensures that children without disabilities who require a substitute for cow's milk for cultural, ethnic, religious, or medical reasons receive the important nutrients found in milk.

Certain brands of soy milk are the only nondairy milk products that meet the USDA's nutrition standards for fluid milk substitutes. Almond milk, rice milk, and other nondairy milk products do not currently meet these standards.

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#### Additional milk substitute requirements for child care programs in public schools

In addition to meeting the USDA's nutrition standards, nondairy milk substitutes served by child care programs located in public schools must meet the state beverage requirements of C.G.S. Section 10-221q. Nondairy milk substitutes cannot contain artificial sweeteners, and cannot contain more than 4 grams of sugar per ounce, more than 35 percent of calories from fat, and more than 10 percent of calories from saturated fat. Products that meet the USDA and state requirements are included in list 17 on the CSDE's List of Acceptable Foods and Beverages webpage, and in the CSDE's handout, *Allowable Milk Substitutes for Children without Disabilities in the CACFP*.

For children without disabilities, CACFP facilities cannot substitute nondairy beverages that do not comply with the USDA's nutrition standards for fluid milk substitutes and C.G.S. Section 10-221q, even with a medical statement signed by a recognized medical authority. A noncompliant nondairy beverage cannot replace milk unless the child has a medically documented disability that specifically requires it.



Table 4. USDA's nutrition standards for fluid milk substitutes	
	Minimum nutrients per cup (8 fluid ounces)
Calcium	276 milligrams (mg) or 30% Daily Value (DV) $^1$
Protein	8 grams (g)
Vitamin A	500 international units (IU) or 10% DV
Vitamin D	100 IU or 25% DV
Magnesium	24 mg or 6% DV
Phosphorus	222 mg or 20% DV <sup>1</sup>
Potassium	349 mg or 10% DV <sup>1</sup>
Riboflavin	0.44 mg or 25% DV <sup>1</sup>
Vitamin B12	1.1 micrograms (mcg) or 20% DV <sup>1</sup>

<sup>1</sup> The FDA labeling laws require manufacturers to round nutrition values to the nearest 5 percent. The unrounded minimum DV is 27.6% for calcium, 22.2% for phosphorus, 9.97% for potassium, 25.88% for riboflavin, and 18.33% for vitamin B12. Source: *How to Determine if a Soy-Based Beverage Meets the Nutrient Requirements to Qualify as an Authorized Milk Substitute in WTC*, USDA Food and Nutrition Services (FNS) Office of Research, Nutrition, and Analysis (ORNA), 2006.

### 3 Children without Disabilities

#### Identifying acceptable milk substitutes

The Nutrition Facts label does not usually include all of the nutrients required to identify a product's compliance with the USDA's nutrition standards for fluid milk substitutes. If the Nutrition Facts label is missing any of the required nutrient information, CACFP facilities must contact the manufacturer to obtain a product specification sheet that documents the product's compliance with each of the nine nutrients.

CACFP facilities can use the USDA's protein standard to screen nondairy products and determine if they might meet the USDA's nutrition standards. The USDA requires that fluid milk substitutes contain 8 grams of protein per cup (8 fluid ounces). If the product's Nutrition Facts label lists less than 8 grams of protein per 1-cup serving, the product does not meet the USDA's nutrition standards.

If the product's Nutrition Facts label lists at least 8 grams of protein per 1-cup serving, the product might comply with the USDA's nutrition standards. CACFP facilities must obtain additional information from the manufacturer to determine if the product also meets the standards for calcium, vitamin A, vitamin D, magnesium, phosphorus, potassium, riboflavin, and vitamin B12. CACFP facilities are encouraged to submit this information to the CSDE so that new acceptable products can be identified. For information on currently approved products, see the CSDE's handout, *Allowable Milk Substitutes for Children without Disabilities in the CACFP*.

#### Variety of milk substitutes

CACFP facilities may choose how many types of milk substitutes to offer to children without disabilities. If more than one substitute is offered, the CACFP facility must inform all parents or guardians of the options, and allow all parents or guardians to choose one.

All milk substitute options offered by the CACFP facility must be lactose-reduced or lactose-free milk with the appropriate fat content for each age group,



or a nondairy beverage that meets the USDA's nutrition standards for fluid milk substitutes. For more information, see "Lactose-reduced and lactose-free milk" and table 4 in this section.

#### Availability of milk substitutes

If CACFP facilities choose to make allowable milk substitutes available, they must be available for all children when requested by parents or guardians. If the CACFP facility grants a request for any substitute, then all requests for that substitute must be granted. For example, if the CACFP facility chooses to provide an allowable brand of soy milk at a parent's request, then an allowable brand of soy milk must be available to all children whose parents or guardians make any request for fluid milk substitutes. All soy milk products must meet the USDA's nutrition standards for fluid milk substitutes (see table 4).

#### Other beverages

For children whose dietary restriction is not related to a disability, CACFP facilities cannot substitute any other beverages for milk, even with a medical statement signed by a recognized medical authority. Examples of beverages that cannot be substituted for milk include:

- juice;
- water;
- nondairy milk substitutes that do not comply with the USDA's nutrition standards for fluid milk substitutes (see table 4 in section 3), such as almond milk, rice milk, and cashew milk;
- nutrition supplement beverages, such as Abbott's Pediasure; and
- powdered milk beverages, such as Nestle's NIDO.

CACFP meals and snacks for children without disabilities are not reimbursable if they contain any of these beverages in place of milk. If the CACFP facility chooses to make milk substitutes available, they must include at least one choice of either lactose-reduced or lactosefree milk, or an allowable nondairy beverage that meets the USDA's nutrition standards for milk substitutes (see table 4). These are the only two options allowed for milk substitutes for children without disabilities.

Table 5 show examples of acceptable and unacceptable milk substitutes for children age 1 without disabilities. Tables 6 show examples of acceptable and unacceptable milk substitutes for children ages 6 and older without disabilities.



Table 5. Milk substitutes for children age 1 without disabilities <sup>1</sup>	
CACFP facility offers	Is this an allowable milk substitution?
Whole lactose-free or lactose-reduced milk, unflavored	Yes. Unflavored lactose-free and lactose- reduced whole milk credit the same as regular unflavored whole milk in the preschool meal patterns.
Whole lactose-free or lactose-reduced milk, flavored	No. The CACFP meal patterns require that whole milk for age 1 must be unflavored, including lactose-free and lactose-reduced whole milk. <sup>1</sup>
Reduced-fat (2%) milk, unflavored (including lactose-free or lactose-reduced milk)	No, except for a <b>one-month transition</b> <b>period</b> when switching a 24-month-old child from whole milk to low-fat or fat- free milk (see table 3). <sup>1</sup>
Reduced-fat (2%) milk, flavored (including lactose-free or lactose-reduced milk)	No. Flavored reduced-fat milk can never substitute for low-fat or fat-free milk in the CACFP meal patterns for age 1, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Low-fat (1%) lactose-free or lactose- reduced milk, unflavored or flavored	No. The CACFP meal patterns require unflavored whole milk for age 1, including lactose-free and lactose- reduced milk. <sup>1</sup>
Fat-free lactose-free or lactose-reduced milk, unflavored or flavored	No. The CACFP meal patterns require unflavored whole milk for age 1, including lactose-free and lactose- reduced milk. <sup>1</sup>
Nondairy milk substitute (unflavored or flavored) that does not meet the USDA's nutrition standards, such as rice or almond milk	No. All nondairy milk substitutes must meet the USDA's nutrition standards for milk substitutes (see table 4), and must be unflavored for age 1. <sup>1</sup>

<sup>1</sup> All beverages offed in reimbursable meals and snacks for children without disabilities must comply with the CACFP meal patterns for children.

Table 5. Milk substitutes for children age 1 without disabilities <sup>1</sup> , continued	
CACFP facility offers	Is this an allowable milk substitution?
Nondairy milk substitute (unflavored) that meets the USDA's nutrition standards, such as certain brands of soy milk	Yes. Unflavored nondairy milk substitutes that meet the USDA's nutrition standards for milk substitutes (see table 4) may substitute for milk in the CACFP meal patterns for age 1. <b>Note:</b> Nondairy milk substitutes served in child care centers in public schools must also comply with the state beverage requirements of C.G.S. Section 10-221q. For more information, see "Additional milk substitute requirements for child care programs in public schools" in this section.
Nondairy milk substitute (flavored) that meets the USDA's nutrition standards, such as certain brands of soy milk	No. The CACFP meal patterns for age 1 require that allowable nondairy milk substitutes must be unflavored. <sup>1</sup>
Juice	No. Juice can never substitute for whole milk in the CACFP meal patterns for age 1, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Water	No. Water can never substitute for whole milk in the CACFP meal patterns for age 1, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Nutrition supplement beverages	No. Nutrition supplement beverages can never substitute for whole milk in the CACFP meal patterns for age 1, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Powdered milk beverages	No. Powdered milk beverages can never substitute for whole milk in the CACFP meal patterns for age 1, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
<sup>1</sup> All beverages offed in reimburs comply with the CACFP meal	sable meals and snacks for children without disabilities must patterns for children.

# 3 Children without Disabilities

Table 6. Milk substitutes for children ages 2 and older without disabilities <sup>1</sup>	
CACFP facility offers	Allowable?
Whole milk, unflavored, (including lactose-free or lactose-reduced milk)	No, except for a <b>one-month transition period</b> when switching a 24-month-old child from whole milk to low-fat or fat-free milk (see table 3). <sup>1</sup>
Whole milk, flavored, (including lactose-free or lactose-reduced milk)	No. Flavored whole milk can never substitute for low-fat or fat-free milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Reduced-fat (2%) milk, unflavored (including lactose-free or lactose- reduced milk)	No, except for a <b>one-month transition period</b> when switching a 24-month-old child from whole milk to low-fat or fat-free milk (see table 3). <sup>1</sup>
Reduced-fat (2%) milk, flavored (including lactose-free or lactose- reduced milk)	No. Flavored reduced-fat milk can never substitute for low-fat or fat-free milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Low-fat (1%) lactose-free or lactose-reduced milk, flavored	No, except for ages 6 and older. However, the USDA's <i>CACFP Best Practices</i> recommends serving only unflavored milk. <sup>1</sup>
Fat-free (1%) lactose-free or lactose-reduced milk, flavored	No, except for ages 6 and older. However, the USDA's <i>CACFP Best Practices</i> recommends serving only unflavored milk. <sup>1</sup>
Nondairy milk substitute (unflavored or flavored) that does not meet the USDA's nutrition standards, such as rice or almond milk	No. All nondairy milk substitutes must meet the USDA's nutrition standards for milk substitutes (see table 4), and must be unflavored for ages 2-5. <sup>1</sup>
<ul> <li>All beverages offed in reimbursable meals and snacks for children without disabilities must comply with the CACFP meal patterns for children.</li> </ul>	

Table 6. Milk substitutes for children ages 2 and older         without disabilities <sup>1</sup> , continued	
CACFP facility offers	Allowable?
Nondairy milk substitute (unflavored) that meets the USDA's nutrition standards, such as certain brands of soy milk	Yes. Unflavored nondairy milk substitutes that meet the USDA's nutrition standards for milk substitutes (see table 4) may substitute for milk in the CACFP meal patterns. <b>Note:</b> Nondairy milk substitutes served in child care centers in public schools must also comply with the state beverage requirements of C.G.S. Section 10-221q. For more information, see "Additional milk substitute requirements for child care programs in public schools" in this section.
Nondairy milk substitute (flavored) that meets the USDA's nutrition standards, such as certain brands of soy milk	No, except for ages 6 and older. However, the USDA's <i>CACFP Best Practices</i> recommends serving only unflavored allowable nondairy milk substitutes. <sup>1</sup>
Juice	No. Juice can never substitute for milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Water	No. Water can never substitute for milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Nutrition supplement beverages	No. Nutrition supplement beverages can never substitute for milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>
Powdered milk beverages	No. Powdered milk beverages can never substitute for milk in the CACFP meal patterns, even with a medical statement signed by a recognized medical authority. <sup>1</sup>



# 4 — Modifications for Other Reasons

This section addresses meal modifications for reasons other than medical needs, including religion and personal food preferences. With the exception of sponsors of Jewish and Seventh-day Adventist institutions, CACFP facilities are not required to make meal modifications for children whose dietary restrictions are based on individual food preferences that are not related to a disability, such as general health concerns and religious, ethnic, and moral reasons. However, the USDA encourages CACFP facilities to provide a variety of foods, which helps to accommodate individual food preferences.

## **Religious Reasons**

The USDA has granted institutions exemptions from the CACFP meal patterns when evidence shows that the variations are nutritionally sound and necessary to meet ethnic, religious, economic, or physical needs. USDA exemptions include sponsors of Jewish and Seventh-day Adventist institutions.



The USDA grants these exemptions for entities (schools, institutions, and sponsors), not individuals. CACFP facilities may choose to address the needs of individuals by substituting different food items within the same meal pattern component. For example, a child who does not eat pork for religious reasons could be served another meat/meat alternate (such as cheese, yogurt, or peanut butter), and still be provided a reimbursable meal.

#### Jewish sponsors

The USDA's FNS Instruction 783-13 (Rev. 3) summarizes the requirements for variations in meal patterns for Jewish schools, institutions, and sponsors. During the religious observance of Passover, Jewish institutions are exempt from the whole grain-rich (WGR) requirement of USDA regulations. Jewish institutions may substitute unenriched matzo for WGR or enriched products only during that period. At all other times of the year, matzo served as the grains component must be WGR or enriched. For guidance on WGR and enriched grains, see the CSDE's handouts, *Crediting Whole Grains in the CACFP, Crediting Enriched Grains in the CACFP,* and *Whole Grain-rich Criteria for the CACFP.* 

### 4 Other Modifications

The USDA also allows flexibilities for Jewish institutions regarding the meal pattern requirement to offer milk with all meals. Jewish institutions may choose from four alternative options. These options apply only to meals containing meat or poultry, when children do not have the opportunity to refuse milk or meat/poultry through OVS. **Note:** OVS is not allowed in the CACFP, except for at-risk afterschool programs. For more information, see the CSDE's handout, *Offer versus Serve in At-risk Afterschool Centers*.

The four options for Jewish institutions are summarized below.

- 1. Serve an equal amount of an allowable nondairy milk substitute (for medical or special dietary needs) that is nutritionally equivalent to fluid milk. For information on the USDA's nutrition standards for milk substitutes, see table 4 in section 3.
- 2. Serve an equal amount of full-strength juice in place of milk with lunch or supper. When substituting juice for milk, juice cannot contribute to the meal pattern requirements for fruits or vegetables.
  - CACFP facilities operating five days per week may substitute juice for milk twice per week for lunches and twice per week for suppers, but no more than once each day.
  - CACFP facilities operating seven days per week may make three substitutions per week for lunches and three substitutions per week for suppers, but no more than once each day.
- 3. Serve milk at an appropriate time before or after the meal service period, in accordance with applicable Jewish Dietary Laws.
- 4. If applicable, serve the snack's juice component at breakfast, lunch, or supper, and serve the corresponding meal's milk component as part of the snack.

Milk must be offered or served in all other meals according to regulations, since Jewish Dietary Laws allow other meat alternates (such as fish, egg, beans and peas, nuts, seeds, and nut/seed butters) to be consumed with milk at the same meal.

Jewish institutions have the discretion to select one of the four options as an alternative to the standard regulatory meal requirements. For review and audit purposes, institutions electing to use these options must inform the CSDE in writing prior to implementation, and must maintain a record of which option they have chosen. For information on contacting the CSDE, see "CSDE Contact Information" at the beginning of this guide.

Jewish Dietary Laws also pose challenges to serving the dark green vegetable subgroup required in the National School Lunch Program (NSLP). Jewish institutions facing this challenge may be exempt from the requirement to serve the dark green vegetable subgroup, but must serve the same total amount of vegetables. Vegetables served in place of dark green vegetables must come from the red/orange or beans/peas subgroups. The *Dietary Guidelines for Americans* indicates that the American diet does not include a sufficient amount of these two subgroups. **Note:** The NSLP vegetable subgroups requirement does not apply to the CACFP, unless a CACFP child care center operates under the NSLP.

#### Seventh-day Adventist sponsors

Seventh-day Adventist institutions, like all other sponsors of the USDA Child Nutrition Programs, may use alternate protein products (APPs) such as vegetable burgers and other meatless entree items to meet the requirements for the meat/meat alternates component. The USDA allows the use of APPs to provide more flexibility in menu planning.



APPs are food ingredients that may be used alone or in combination with meat, poultry, or seafood. They are processed from soy or other vegetable protein sources and may be dehydrated granules, particles, or flakes. Some examples include soy flours, soy concentrates, soy isolates, whey protein concentrate, whey protein isolates, and casein. APPs may be used in the dry (nonhydrated), partially hydrated, or fully hydrated form.

Appendix A of the CACFP regulations (7 CFR 226) requires that APPs must comply with specific criteria to credit toward the meat/meat alternates component of the CACFP meal patterns. The APP requirements are listed below.

- 1. The APP is processed so that some portion of the nonprotein constituents of the food is removed. (This refers to the manufacturing process for APPs.) AAPs must be safe and suitable edible products produced from plant or animal sources.
- 2. The biological quality of the protein in the APP must be at least 80 percent that of casein (milk protein), determined by performing a Protein Digestibility Corrected Amino Acid Score (PDCAAS).
- 3. The APP contains at least 18 percent protein by weight when fully hydrated or formulated. ("When hydrated or formulated" refers to a dry APP and the amount of water, fat, oil, colors, flavors or any other substances that have been added.)

Menu planners cannot determine this information by reading the product's label. The USDA's Food Safety Inspection Service (FSIS) and the Food and Drug Administration's (FDA) labeling laws require manufacturers to list product ingredients, but percent labeling is

### 4 Other Modifications

voluntary. For example, the ingredients may list whey protein concentrate and hydrolyzed soy protein, but will not indicate the percentage of these protein ingredients by weight. Consequently, CACFP facilities are responsible for obtaining documentation from the manufacturer for any APPs used to meet the requirements of the meat/meat alternates component.

The manufacturer can provide documentation of the USDA's three criteria for APPs in a variety of forms, such as a product specification sheet or a letter signed by a company official attesting the product meets the USDA requirements. Products that have Child Nutrition (CN) labels provide information on how to credit APP foods toward the USDA meal patterns.

For more information on crediting APPs, see the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*. For information on CN labels, see the CSDE's handout, *Using Child Nutrition (CN) Labels in the CACFP*.

## **Vegetarians**

With the exception of Seventh-day Adventist sponsors, the USDA's regulations do not require CACFP facilities to make meal modifications for vegetarians. To receive USDA reimbursement, meals and snacks served to vegetarian children must meet the CACFP meal patterns.

The CACFP facility must provide all of the required CACFP meal pattern components. Meals and snacks containing parent-provided components are not reimbursable, unless they are for a child without a disability who has a documented medical need and the CSDE has preapproved them. For more information, see "Family-provided Foods" in this section.



CACFP facilities are encouraged to work with parents or guardians to identify foods that children can eat, while considering cost constraints and program logistics such as food service production capabilities. CACFP facilities can offer a variety of vegetarian choices each week, such as macaroni and cheese, spaghetti with tomato sauce and cheese wedge, cheese pizza, vegetable bean soup, chili, grilled cheese sandwiches, meatless lasagna, bean tacos, and bean burritos.

CACFP facilities may also incorporate a variety of vegetable-based entree products in CACFP menus, such as tofu, tempeh, and meatless entrees (such as hotdogs and vegetable burgers) that meet the USDA's specified criteria for APPs. For more information, see "Seventh-day Adventist Sponsors" in this section, and the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*.

# **Food Preferences**

The CACFP regulations do not require CACFP facilities to make meal modifications based on the food choices or personal preferences of a family or child. An example is parents who prefer that their children eat a gluten-free diet or organic foods because they believe it is better for the child. Personal food preferences are not disabilities and do not require meal modifications. For more information, see "Food Preference versus Disability" in section 2.

CACFP facilities may choose, but are not required, to accommodate children's personal food preferences on a case-by-case basis. Meal modifications for personal food preferences must comply with the CACFP meal patterns. For information on the CACFP meal patterns, visit the CSDE's Meal Patterns for CACFP Child Care Programs webpage and review the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*.

# **Procured Meals**

CACFP facilities must always ensure that any benefits available to the general population are equally available to children with disabilities. Federal regulations specifically prohibit disability discrimination through contractual means, included vended contracts. CACFP facilities must make accommodations for children with disabilities, regardless of whether the CACFP facility:

- operates the food service program;
- contracts with a food service management company (FSMC); or
- purchases vended meals.

When a FSMC operates the food service or the CACFP facility obtains meals and snacks from a vendor, the CACFP facility must address the issue of meal modifications. The CSDE recommends that the contract developed with the FSMC or vendor specifies the CACFP facility's requirements for meal modifications. CACFP facilities that do not have any need for meal modifications at the time a bid is prepared should still include sufficient information in the bid to ensure that the vendor is aware that meal modifications may be required during the term of the contract. The CACFP facility, not the FSMC or vendor, is ultimately responsible for complying with the USDA's regulations for CACFP meals and snacks, including meal modifications for children whose disability restricts their diet.

For detailed guidance on contracting with FSMCs, see USDA Memo SP 40-2016, CACFP 12-2016 and SFSP 14-2016: *Updated Guidance: Contracting with Food Service Management Companies.* For more information, visit the CACFP section of the CSDE's Food Service Management Company Contracts webpage.

# **Family-provided Foods**

Situations may arise when parents or guardians want to provide foods or beverages as a substitution for a CACFP meal component or an entire meal. **Family-provided components are not allowed for children whose disability restricts their diet.** The CACFP facility must provide a reasonable meal modification based on the child's medical statement signed by a recognized medical authority.

The basic premise for all USDA Child Nutrition Programs is to reimburse participating institutions for the costs associated with providing wholesome, nutritious meals and snacks to children. If the CACFP facility does not incur costs, the USDA does not pay reimbursement.



However, with specific documentation (i.e., a medical statement signed by a recognized medical authority), the USDA allows reimbursement for CACFP meals for children without disabilities when the family supplies a particular food or beverage item for medical reasons, if the child receives all required CACFP meal pattern components. To claim these meals for reimbursement, the CACFP facility must:

- submit a specific written request to the CSDE (see "CSDE Contact Information" at the beginning of this guide);
- detail the child's medical issue in the request and attach a copy of the medical statement signed by the child's recognized medical authority; and
- outline the food or beverage item to be provided by the parent or guardian, with a statement of assurance that the CACFP facility will serve all other menu items.

The CSDE will review the request and, if approved, will issue a written response for the acceptable family-provided meal component. The CACFP facility must maintain this approval on file with the child's other medical records (see "Storage of medical statements" in section 2). The CACFP facility may only use the CSDE approval for the individual child for whom the request has been granted. These procedures are summarized in CSDE Operational Memorandum No. 03C-07 and 03H-07: Reimbursement for Meals Provided by Parents for Medical Reasons in the CACFP.

When determining whether to allow foods brought from home, CACFP facilities must consider food safety issues and the liability that might arise if an adult participant gets a foodborne illness. Connecticut's Public Health Code 19-13-B42 applies to all foods served in child care centers and emergency shelters, regardless of whether they are prepared on site or brought from home. CACFP facilities should develop policies and procedures to ensure the safety of foods brought from home to be served in the child care environment.

#### Public Health Code 19-13-B42

The Connecticut State Department of Public Health's (DPH) regulations for food service establishments (Connecticut Public Health Code (PHC) 19-13-B42) require that all foods and beverages must originate from an approved inspected and regulated source, and be transported properly at required temperatures. PHC 19-13-B42 applies to child care centers and emergency shelters, but does not apply to family day care homes. However, family day care homes must follow proper procedures to ensure the safety of meals and snacks served to children in the CACFP.

Foods sent into the CACFP facility from a private home have not originated from an approved source. CACFP facilities cannot ensure that foods brought from home are safe from microbial contamination or cross-contact with potential food allergens. There are potential liability issues if CACFP facilities serve foods that have not been:

- directly received from a regulated source, such as an approved food service vendor; or
- stored, cooked, and served by trained food service personnel under the direction of a qualified food operator (QFO).

Connecticut PHC Section 19-13-B42(s)(4) requires at least one QFO, who is in a supervisory position, and a designated alternate person to be in charge at all times when the QFO cannot be present, in each food service establishment that prepares and/or serves exposed potentially hazardous foods prepared using hot processes. Each local health jurisdiction is responsible for classifying its local food service establishments.

A QFO is a food service professional in a full-time supervisory capacity on site who has demonstrated knowledge in the safe preparation and service of food, as defined by DPH regulations. The QFO's responsibilities include operating the food service establishment in compliance with all the provisions of Public Health Code 19-13-B42; training food preparation personnel in safe food preparation practices; maintaining written documentation of training; and directing and inspecting the performance of food service workers. For more information, see the CSDE's handout, *Qualified Food Operator (QFO) Responsibilities for Connecticut Child Nutrition Programs*.

### 4 Other Modifications

**Note:** The QFO requirements will be changing. During the 2017 Connecticut legislative session, Senate Bill 901 was passed to adopt the FDA Food Code. The new code will replace the following current food regulations: 19-13-B40, 19-13-B42, 19-13-B48, and 19-13-B49. Public Act 18-168 (approved June 13, 2018) included language that extends the date for adoption of the FDA Food Code to "Not later than January 1, 2019." However, the state regulations to implement the FDA Food Code are still in the regulation review process, and were not approved by January 1, 2019. Therefore, as of January 1, 2019, the current food regulations (19-13-B42, 19-13-B48, and 19-13-B48, and 19-13-B49) are still in effect and are enforceable by local certified food inspectors, until the state regulations are approved. CACFP facilities should contact their local health department for more information.

For information and resources on food safety, visit the CSDE's Food Safety for Child Nutrition Programs webpage.



# 5 — Policies and Procedures

CACFP facilities must comply with federal and state laws for developing and implementing written policies and procedures for special diets. The USDA's regulations require CACFP facilities to provide notice of nondiscrimination and accessible services. CACFP facilities with 15 or more employees must designate at least one person to coordinate compliance with disability requirements. State law requires public schools to develop and implement a plan to manage students with life-threatening food allergies. The CSDE recommends that CACFP facilities develop a written policy and standard operating procedures (SOPs) for meal modifications in the CACFP. This section summarizes these requirements and recommendations.

# **Procedural Safeguards**

The USDA encourages CACFP facilities to implement procedures for parents or guardians to request modifications to the meal service for children with disabilities, and resolve grievances. These procedures should include providing a written final decision on each request.

CACFP facilities should notify parents or guardians of the procedure for requesting meal modifications. At a minimum, CACFP facilities must provide notice of nondiscrimination and accessible services, as outlined in the USDA's nondiscrimination regulations (7 CFR 15b.7). CACFP sponsors should also ensure that center staff and family day care home providers understand the procedures for handling requests for meal modifications.

#### CACFP facilities with 15 or more employees

CACFP facilities that employ 15 or more individuals must designate at least one person to coordinate compliance with disability requirements, as required by the USDA's nondiscrimination regulations (7 CFR 15b.6). This position is often referred to as the Section 504 Coordinator.

The Section 504 Coordinator, who is responsible for addressing requests for accommodations in the center or family day care home, may also be responsible for ensuring compliance with disability requirements related to meals and the meal service. The USDA does not require a separate Section 504 Coordinator who is only responsible for meal modifications. The USDA recommends that CACFP facilities employing less than 15 individuals have someone on staff who can provide technical assistance to centers and family day care homes when they are making meal modifications for children with disabilities.

### 5 Policies and Procedures

CACFP facilities that employ 15 or more individuals must also establish grievance procedures that incorporate appropriate due process standards and that provide for the prompt and equitable resolution of complaints, as required by the USDA's nondiscrimination regulations (7 CFR 15b.6). The USDA's recommended procedures include:

- allowing participants or their representatives to submit a grievance (complaint with any supporting documentation) for consideration by the CACFP facility;
- providing that a prompt decision by the CACFP facility be rendered to the participant or the participant's representative regarding the grievance; and
- ensuring that the decision includes the official USDA nondiscrimination statement, which advises the participant how to file a complaint with the USDA's Food and Nutrition Service (FNS). The CSDE's document, *CACFP Civil Rights Requirements,* contains the official USDA nondiscrimination statement.

# Food Allergy Management Plan for Public Schools

Section 10-212c of the Connecticut General Statutes requires that public schools develop and implement a plan to manage students with life-threatening food allergies. The statute also specifies that schools should base the plan on the CSDE's publication, *Guidelines for Managing Life-threatening Food Allergies in Connecticut Schools*, and include the development of an IHCP for every student with life-threatening food allergies, regardless of the child's age.

Policies for school-based child care and early education programs, such as a Head Start center located in a school, should be integrated with the district's food allergy management plan, and developed in collaboration with school health services and school administration. CACFP staff in public schools should be familiar with their district's food allergy management plan and implement procedures that are consistent with that plan.



# **Policy for Meal Modifications**

In addition to the requirements for procedural safeguards, the CDSE strongly encourages CACFP facilities to develop a written policy addressing meal modifications in the CACFP. The policy should be integrated with all applicable child care policies, such as the procedural safeguards process and food allergy management plan, and developed in collaboration with the CACFP facility's local team (see "Team approach" in section 1).

Written policies for meal modifications are important because they:

- provide clear guidelines for children, families, and staff;
- ensure consistent practices at all sites and among all staff;
- document compliance with federal requirements and best practices;
- educate families regarding the child care program's practices and procedures;
- provide a basis to evaluate program activities and staff; and
- demonstrate the CACFP facility's commitment to children's health and well-being.

Policies are an important tool to notify the child care community (including administrators, staff, and families) of the availability of meal modifications, and explain applicable requirements and procedures, including:

- the federal requirements to ensure that modified meals and snacks are reimbursable;
- the process for parents or guardians to request meal modifications;
- how to submit the medical statement and supporting documentation, such as diet plans;
- maintaining appropriate documentation and ensuring that the dietary information on file is current;
- SOPs for meal modifications, e.g., preparing foods for different types of special diets and cleaning procedures to prevent food allergen contamination;
- communication procedures among CACFP facility staff (including food service and child care staff), and between the CACFP facility and parents or guardians, to ensure that everyone is aware of each child's specific dietary needs and accommodations, based on the child's medical statement (or Section 504 plan or IEP, if applicable);
- monitoring to ensure that meal modifications are appropriate and meet each child's individual dietary needs; and
- providing notice to parents and guardians of nondiscrimination and accessible services, as outlined in the USDA's nondiscrimination regulations (7 CFR 15b.7), and grievance procedures for CACFP facilities that employ 15 or more individuals. For more information, see "Procedural Safeguards" in this section.

Since meal modifications are only required for children whose disability restricts their diet,

### 5 Policies and Procedures

CACFP facilities will make decisions regarding meal modifications for children without disabilities. The written policy should address how the CACFP facility will handle these meal modifications and identify any local procedures.

#### Standard operating procedures (SOPs)

SOPs are detailed explanations of how to implement a policy through specific practices or tasks. They standardize the process and provide step-by-step instructions that enable everyone to perform the task in a consistent manner. This ensures that all staff follows the same procedures each time. Potential SOPs for meal modifications include:

- preparing foods for different types of special diets, such as texture modifications, food allergies, celiac disease, and diabetes;
- reviewing menus, reading food labels, and making nutrition information available to children (when age appropriate), parents or guardians, recognized medical authorities, and other appropriate staff, as needed;
- cleaning to prevent cross-contact of possible allergens;
- handwashing to prevent cross-contact of possible food allergens; and
- ensuring that CACFP facility staff and food service staff regularly communicate and collaborate regarding the planning and implementation of meal modifications for children whose disability restricts their diet;
- obtaining consultation services (such as a registered dietitian), as needed, to plan meals and snacks for children whose disability restricts their diet; and
- training for food service staff and all applicable CACFP facility staff (including substitutes) involved with planning, preparing, and serving CACFP meals and snacks.

The resources below provide examples of SOPs. These resources were developed for schools, but provide guidance that also applies to child care settings.

- Food Safety SOPs (Institute of Child Nutrition): https://theicn.org/icn-resources-a-z/standard-operating-procedures/
- Preparation of Foods with Potential to Cause Allergic Reaction: Standard Operating Procedure (Wisconsin Department of Public Instruction): https://dpi.wi.gov/sites/default/files/imce/school-nutrition/doc/sop\_allg.doc
- Standard Operating Procedure (SOP): Serving Safe Food to Students with Food Allergies (ICN): https://theicn.org/resources/181/food-safety-standard-operatingprocedures/105719/serving-safe-food-to-students-with-food-allergies-3.docx

- Standard Operating Procedure: Handling Students Identified with Severe Food Allergy or Anaphylaxis (South Windsor Public Schools): http://www.southwindsorschools.org/UserFiles/Servers/Server\_239916/File/Depar tments/Food%20Services%20Chartwells/School%20Lunch%20Policies%20Procedur es%20and%20Forms/SOP\_on\_Handling\_students\_Identified\_with\_Severe\_Food\_Al lergy\_or\_Anaphylaxis\_8-2013.pdf
- Standard Operating Procedures (SOPs): Washing Hands (ICN): https://theicn.org/resources/181/food-safety-standard-operatingprocedures/105741/washing-hands.docx
- "Standard Operating Procedures" in the CSDE's Food Safety Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesFoodSafety.pdf

#### Strategies for policy development

The strategies below assist CACFP facilities with developing a policy for meal modifications. Priority areas include assessing current operations, developing SOPs, providing professional development, ensuring consistent communication, and monitoring implementation.

- Identify the staff and resources needed for planning, developing, implementing, and evaluating the policy and SOPs for modifications to CACFP meals and snacks.
- Conduct a self-assessment of the CACFP facility's current policies, practices, and procedures for modifications to CACFP meals and snacks. For more information, see the CSDE's document, *Self-assessment of Child Care Practices for Special Diets in the CACFP*.
- Identify the essential practices to implement in the food service program and the child care environment, and determine where SOPs are necessary.
- Develop an action plan to address the practices needing attention, as identified by the CACFP facility's completed self-assessment. When developing action plans for policy and SOPs, start with the most important practices. The CSDE's action planning form and sample action plans can assist with this process.
  - Action Planning Form: https://portal.ct.gov/-/media/SDE/Nutrition/NSLP/SpecDiet/ActionPlan.doc
  - Sample Action Plan: Developing Policy for Meal Modifications in the CACFP: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/ActionPolicySpecialDietsCACFP. pdf

### 5 Policies and Procedures

- Sample Action Plan: Promoting Policy for Meal Modifications in the CACFP: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/ActionPromoteSpecialDietsCAC FP.pdf
- Develop SOPs by writing down the actual steps taken when performing the specific task. When using sample SOPs from organizations or other child care programs, be sure to customize the information so it is specific to the local CACFP facility.
- Identify the training needs of CACFP facility staff, and appropriate professional development on meal modifications for children with special dietary needs. Provide annual and ongoing training for food service staff and other child care staff, as appropriate. For more information, see "Staff Training" in this section.
- Identify effective communication strategies among CACFP facility staff, and between the CACFP facility and parents or guardians, to ensure that everyone is aware of the CACFP facility's policy and SOPs for meal modifications. Incorporate these strategies into the SOPs, and provide staff training and guidance on how to implement them.
- Implement monitoring procedures to ensure that meal modifications are reasonable, appropriate, and meet each child's specific dietary needs; and that all staff responsible for planning, preparing, and serving meals and snacks consistently follow the CACFP facility's policy and SOPs for meal modifications. Review the policy and SOPs for meal modifications at least annually, and make changes as needed.



# **Staff Training**

Appropriate training in nutrition, CACFP requirements, food preparation techniques, meal modifications, and food safety prepares staff to provide nutritious and safe meals and snacks. Training helps staff understand their responsibilities and be successful in their jobs. Motivation is increased when employees understand program goals and the tasks required to achieve those goals. Training also instills a sense of positive self-esteem through improved professional competency.

CACFP regulations require that all sponsors provide training at least annually for key staff members. The training must include instruction that is appropriate to the level of staff experience and duties. At a minimum, the training must address the CACFP meal patterns, meal counts, claim submission and review procedures, recordkeeping requirements, and the reimbursement system. The CSDE strongly recommends that CACFP facilities include regular training on meal modifications.



Appropriate training is especially critical for the effective management of life-threatening food allergies. Food service staff require appropriate training on how to read food labels and identify potential allergens in processed foods. They also need to know how to avoid cross-contact with potential allergens during food preparation, service, and cleaning. All child care staff need training on identifying the symptoms of an allergic reaction and how to respond in an emergency. For training resources on food allergies, visit the "Related Resources" section of the CSDE's Special Diets in CACFP Child Care Programs webpage. For more information and resources on food allergies, see "Food Allergy" in section 2.

The USDA also requires annual civil rights training for all staff who interact with participants of the CACFP. The USDA's civil rights requirements address meal modifications for students with disabilities. CACFP facilities should use the CSDE's presentation, *Civil Rights: Your Responsibilities in the Child and Adult Care Food Program (CACFP)*, to provide civil rights training. This presentation is available under "CACFP" on the CSDE's Civil Rights for Child Nutrition Programs webpage.



# 6 — Resources

This section includes links to federal and state regulations, policy memoranda, websites, and the CSDE's guides, resource lists, forms, and handouts. All forms and handouts for meal modifications are available on the CSDE's Special Diets in CACFP Child Care Programs website.

## **CSDE Forms and Handouts**

Action Planning Form:

https://portal.ct.gov/-/media/SDE/Nutrition/NSLP/SpecDiet/ActionPlan.doc

Allowable Milk Substitutes for Children without Disabilities in the CACFP: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MilkSubstitutesCACFP.pdf

Guidance and Instructions for the Medical Statement for Meal Modifications in CACFP Child Care Programs (English): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFPinstr.pdf

Guidance and Instructions for the Medical Statement for Meal Modifications in CACFP Child Care Programs (Spanish): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFPinstr\_Spanish.pdf

Medical Statement for Meal Modifications in CACFP Child Care Programs (English): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFP.pdf

Medical Statement for Meal Modifications in CACFP Child Care Programs (Spanish): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/MedicalCACFP\_Spanish.pdf

Requirements for Meal Modifications in CACFP Child Care Programs (Presentation): https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/PresentationSpecialDietsCACFP.pdf

Sample Action Plan: Developing Policy for Meal Modifications in the CACFP: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/ActionPolicySpecialDietsCACFP.pdf

Sample Action Plan: Promoting Policy for Meal Modifications in the CACFP: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/ActionPromoteSpecialDietsCACFP.pdf

### 6 Resources

Self-assessment of Child Care Practices for Special Diets in the CACFP:

https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/AssessmentSpDietCACFP.pdf

Summary of Requirements for Accommodating Special Diets in CACFP Child Care Programs:

https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/ChartSpecialDietsCACFPAdults.pdf

# **CSDE Guides**

Accommodating Special Diets in CACFP Child Care Programs: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/SpecDiet/GuideSpecialDietsCACFP.pdf

- Action Guide for Child Care Nutrition and Physical Activity Policies: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/CCPolicy/CCAG.pdf
- Guidelines for Feeding and Swallowing Programs in Schools: https://portal.ct.gov/-/media/SDE/Special-Education/feeding\_and\_swallowing.pdf
- Guidelines for Managing Life-threatening Food Allergies in Connecticut Schools: https://portal.ct.gov/SDE/Publications/Managing-Life-Threatening-Food-Allergies-in-Connecticut-Schools

Meal Pattern Requirements for CACFP Child Care Programs: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/MealPattern/NPGmealpattern.pdf

Planning Healthy Meals in CACFP Child Care Programs: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/MealPattern/NPGhealthy.pdf

# **CSDE Resource Lists**

The CSDE resource lists are available on the CSDE's Resources for Child Nutrition Programs webpage.

Child Nutrition Programs Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesChild NutritionPrograms.pdf

Competitive Foods Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesCompetitiveFoods.pdf

Dietary Guidelines and Nutrition Information Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesDietaryGuidelines.pdf

Food Safety Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesFoodSafety.pdf

Health and Achievement Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesAchievement.pdf

Menu Planning and Food Production Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesMenuPlanning.pdf

Nutrition Education Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesNutritionEducation.pdf

Physical Activity and Physical Education Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesPhysicalActivity.pdf

Promoting Healthy Weight Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesHealthyWeight.pdf

Special Diets Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesSpecialDiets.pdf

Wellness Policies for Schools and Child Care Resource List: https://portal.ct.gov/-/media/SDE/Nutrition/Resources/ResourcesWellnessPolicy.pdf.

### **CSDE CACFP Websites**

- CACFP At-risk Afterschool Care Centers (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-At-Risk-Afterschool-Care-Centers
- CACFP Child Care Centers (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-Child-Care-Centers
- CACFP Emergency Shelters (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-Emergency-Shelters
- CACFP Family Day Care Homes (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-Family-Day-Care-Homes
- Child and Adult Care Food Program (CACFP) (CSDE): https://portal.ct.gov/SDE/Nutrition/Child-and-Adult-Care-Food-Program
- Child Nutrition (CN) Labeling (USDA): https://www.fns.usda.gov/cnlabeling/child-nutrition-cn-labeling-program
- Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Child-Nutrition-Programs
- Civil Rights for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Civil-Rights-for-Child-Nutrition-Programs
- Connecticut Department of Public Health Food Protection Program: https://portal.ct.gov/DPH/Food-Protection-Program/Main-Page
- Crediting Foods in CACFP Child Care Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Crediting-Foods-in-CACFP-Child-Care-Programs
- Feeding Infants in CACFP Child Care Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Feeding-Infants-in-CACFP-Child-Care-Programs
- FNS Instructions for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/FNS-Instructions-for-Child-Nutrition-Programs
- Food Safety for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Food-Safety-for-Child-Nutrition-Programs
- Forms for CACFP Child Care Centers (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-Child-Care-Centers/Documents
- Forms for CACFP Homes (CSDE): https://portal.ct.gov/SDE/Nutrition/CACFP-Family-Day-Care-Homes/Documents
- Laws and Regulations for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Laws-and-Regulations-for-Child-Nutrition-Programs

- Manuals and Guides for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Manuals-and-Guides-for-Child-Nutrition-Programs
- Meal Patterns for CACFP Child Care Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Meal-Patterns-CACFP-Child-Care-Programs
- Menu Planning for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Menu-Planning
- Operational Memoranda for the CACFP (CSDE): https://portal.ct.gov/SDE/Lists/Operational-Memoranda-for-the-CACFP
- Program Guidance for CACFP Child Care Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Program-Guidance-CACFP-Child
- Resources for Child Nutrition Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Resources-for-Child-Nutrition-Programs
- Special Diets in CACFP Child Care Programs (CSDE): https://portal.ct.gov/SDE/Nutrition/Special-Diets-in-CACFP-Child-Care-Programs

## **Nondiscrimination Legislation**

- Americans with Disabilities Act (ADA) Amendments Act of 2008 (P.L. 110-325): https://www.ada.gov/pubs/ada.htm
- Americans with Disabilities Act (ADA) Amendments Act of 2008 Final rule: Amendment of Americans with Disabilities Act Title II and Title III Regulations to Implement ADA Amendments Act of 2008 (28 CFR Parts 35 and 36): https://www.ada.gov/regs2016/adaaa.html
- Americans with Disabilities Act (ADA) Final Rule: Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities (28 CFR Part 36) (Implementing regulation for Title III of the Americans with Disabilities Act (ADA)): https://www.ada.gov/regs2010/titleIII\_2010/titleIII\_2010\_regulations.pdf
- Americans with Disabilities Act (ADA): https://www.ada.gov/
- Americans with Disabilities Act (ADA): The Americans with Disabilities Act of 1990 and Revised ADA Regulations Implementing Title II and Title III (U.S. Department of Justice website): https://www.ada.gov/2010\_regs.htm
- Americans with Disabilities Act of 1990 (ADA) and the ADA Amendments Act of 2008 (Public Law 110-325): https://www.ada.gov/pubs/ada.htm

#### 6 Resources

- Civil Rights (U.S. Department of Health & Human Services, Office for Civil Rights): https://www.hhs.gov/civil-rights/for-individuals/disability/index.html
- Code of Federal Regulations 7CFR15b.3 Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance (USDA): https://www.gpo.gov/fdsys/pkg/CFR-2003-title7-vol1/xml/CFR-2003-title7-vol1sec15b-3.xml
- CSDE Circular Letter C-9: Section 504 of the Rehabilitation Act of 1975: Procedural Safeguards: https://portal.ct.gov/-/media/SDE/Circular-Letters/circ00-01/c9.pdf
- Family Educational Rights and Privacy Act (FERPA) (U.S. Department of Education): https://www2.ed.gov/policy/gen/guid/fpco/ferpa/index.html
- Health Insurance Portability and Accountability Act of 1996 (HIPAA) (U.S. Department of Health and Human Services): https://www.hhs.gov/hipaa/index.html
- Individuals with Disabilities Education Act (IDEA): https://www.gpo.gov/fdsys/pkg/PLAW-108publ446/html/PLAW-108publ446.htm
- Individuals with Disabilities Education Act (IDEA): http://frwebgate.access.gpo.gov/cgibin/getdoc.cgi?dbname=108\_cong\_public\_laws&docid=f:publ446.108
- Individuals with Disabilities Education Act (U.S. Department of Education): https://sites.ed.gov/idea/
- Know the Rights That Protect Individuals with Disabilities from Discrimination (U.S. Department of Health & Human Services Office for Civil Rights): https://www.hhs.gov/sites/default/files/knowyourrights504adafactsheet.pdf
- Section 504 of the Rehabilitation Act of 1973: https://www.hhs.gov/sites/default/files/knowyourrights504adafactsheet.pdf
- U.S. Department of Education Office of Special Education Programs: https://www2.ed.gov/about/offices/list/osers/osep/index.html
- USDA's nondiscrimination regulations (7 CFR 15b: Nondiscrimination on the Basis of Handicap in Programs or Activities Receiving Federal Financial Assistance): https://www.gpo.gov/fdsys/granule/CFR-2010-title7-vol1/CFR-2010-title7-vol1-part15b
- Your Rights Under Section 504 of the Rehabilitation Act (U.S. Department of Health & Human Services, Office for Civil Rights): https://www.hhs.gov/sites/default/files/ocr/civilrights/resources/factsheets/504.pdf

## **Regulations and Policy**

- CACFP Policy Memos (USDA): https://www.fns.usda.gov/resources
- CACFP Regulations (USDA): https://www.fns.usda.gov/part-226%E2%80%94child-and-adult-care-food-program
- Child and Adult Care Food Program: Meal Pattern Revisions Related to the Healthy, Hunger-Free Kids Act of 2010 (81 FR 24348), April 25, 2016. https://www.gpo.gov/fdsys/pkg/FR-2016-04-25/pdf/2016-09412.pdf
- Connecticut General Statutes Section 10-221q (Sale of Beverages): https://www.cga.ct.gov/current/pub/chap\_170.htm#sec\_10-221q
- Connecticut Public Health Code 19-13-B42: Sanitation of Places Dispensing Foods or Beverages (DPH): https://eregulations.ct.gov/eRegsPortal/Browse/getDocument?guid=%7BF2EAB579-78B9-43A1-8B73-7ACE5B2DC9DD%7D
- CSDE Operational Memorandum No. 02C-18 and 02H-18: Requirements for Meal Modifications in CACFP Child Care Centers and Family Day Care Homes: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/Memos/OM2018/OM02C18\_02H18.pdf
- CSDE Operational Memorandum No. 03A-16, 04C-16 and 04H-16: Statements Supporting Accommodations for Participants with Disabilities in the Child Nutrition Programs: https://portal.ct.gov/-/media/SDE/Nutrition/CACFP/Memos/OM2016/OM03A16\_4C16\_04H16.pdf
- CSDE Operational Memorandum No. 03C-07 and 03H-07: Reimbursement for Meals Provided by Parents for Medical Reasons in CACFP: https://portal.ct.gov/-

/media/SDE/Nutrition/CACFP/Memos/OMEarlierYears/OM03C07\_03H07.pdf

- FNS Instruction 783-13, Revision 3: Variations in Meal Requirements for Religious Reasons: Jewish Schools, Institutions and Sponsors. https://portal.ct.gov/-/media/SDE/Nutrition/FNSinstruction/783-13.pdf
- Healthy, Hunger-Free Kids Act of 2010 (Public Law 111-296): https://www.fns.usda.gov/school-meals/healthy-hunger-free-kids-act
- How to Determine if a Soy-Based Beverage Meets the Nutrient Requirements to Qualify as an Authorized Milk Substitute in WIC (USDA): https://wicworks.fns.usda.gov/wicworks/Learning\_Center/FP/soybeverage.pdf
- Nutrition Standards for CACFP Meals and Snacks (USDA): https://www.fns.usda.gov/cacfp/meals-and-snacks

### 6 Resources

- USDA Memo CACFP 08-2017: Questions and Answers on the Updated Meal Pattern Requirements for the Child and Adult Care Food Program: https://www.fns.usda.gov/cacfp/questions-and-answers-updated-meal-patternrequirements-child-and-adult-care-food-program
- USDA Memo CACFP 14-2017 and SFSP 10-2017: Modifications to Accommodate Disabilities in the Child and Adult Care Food Program and Summer Food Service Program:

https://www.fns.usda.gov/modifications-accommodate-disabilities-cacfp-and-sfsp

- USDA Memo CACFP 17-2016: Nutrition Requirements for Fluid Milk and Fluid Milk Substitutions in the CACFP, Q&As: https://www.fns.usda.gov/cacfp/nutrition-requirements-fluid-milk-and-fluid-milksubstitutions-cacfp-qas
- USDA Nondiscrimination Regulations (7 CFR 15b): Nondiscrimination on the Basis of Handicap in Programs or Activities Receiving Federal Financial Assistance): https://www.gpo.gov/fdsys/granule/CFR-2010-title7-vol1/CFR-2010-title7-vol1-part15b

# Glossary

Administrative Review: A periodic review of an institution's operations by the Connecticut State Department of Education to monitor performance and assess compliance with all USDA regulations.

**advanced practice registered nurse (APRN):** An individual who performs advanced level nursing practice activities that, by virtue of post-basic specialized education and experience, are appropriate to and may be performed by this profession. The APRN performs acts of diagnosis and treatment of alterations in health status and collaborates with a physician (licensed to practice medicine in Connecticut) to prescribe, dispense, and administer medical therapeutics and corrective measures. For more information, see Section 20-87a of the Connecticut General Statutes.

alternate protein products (APP): APPs are generally single ingredient powders that are added to foods. Some examples include soy flours, soy concentrates, soy isolates, whey protein concentrate, whey protein isolates, and casein. APPs include vegetable protein products. The USDA has specific requirements for the crediting of APPs in Child Nutrition Programs. For more information, see "Seventh-day Adventist Sponsors" in section 4, and the CSDE's handout, *Requirements for Alternate Protein Products in the CACFP*.

**anaphylaxis:** A sudden, severe allergic reaction occurring in allergic individuals after exposure to an allergen such as food, an insect sting or latex. Anaphylaxis involves various areas of the body simultaneously or causes difficulty breathing and swelling of the throat and tongue. In extreme cases, anaphylaxis can cause death.

**at-risk afterschool care centers:** The at-risk afterschool meals component of the CACFP provides reimbursement for snacks and suppers served to children through age 18 who are participating in afterschool programs in eligible (at-risk) areas. The program provides funds to public and private nonprofit (federal tax-exempt) and for-profit organizations, and schools, for nutritious snacks and suppers served as part of organized programs of care, which are known to help reduce or prevent children's involvement in high-risk behaviors. All snacks must meet the requirements of the CACFP meal patterns for children. For more information, see the USDA's CACFP Afterschool Programs webpage.

#### Glossary

**body mass index:** A number calculated from a child's weight and height that is a reliable indicator of body fat for most children and teens. For children ages 2 through 19, the BMI number is plotted on the Centers for Disease Control and Prevention's (CDC) BMI-for-age growth charts (for either girls or boys) to obtain a percentile ranking. Percentiles are the most commonly used indicator to assess the size and growth patterns of individual children in the United States. BMI ranges for children and teens are defined so that they take into account normal differences in body fat between boys and girls and differences in body fat at various ages. For more information, see the CDC's About Child and Teen BMI webpage.

**CACFP facilities:** Child care centers, family day care homes, emergency shelters, and at-risk afterschool care centers that participate in the USDA Child and Adult Care Food Program.

**CACFP meal patterns for children:** The required food components and minimum servings that facilities participating in the CACFP must provide to children ages 1-12 to receive federal reimbursement for meals (breakfast, lunch, and supper) and snacks served to children. (Note: Emergency shelters can serve CACFP meals and snacks to residents ages 18 and younger and to children of any age who have disabilities. At-risk afterschool care centers can serve CACFP snacks to students ages 18 or younger.) For more information, visit the CSDE's Meal Patterns for CACFP Child Care Programs webpage and review the CSDE's guide, *Meal Pattern Requirements for CACFP Child Care Programs*.

**CACFP meal patterns for infants:** The required food components and minimum serving sizes that facilities participating in the CACFP must provide to infants from birth through 11 months to receive federal reimbursement for meals and snacks served to infants. For more information, see the CSDE's handout, *CACFP Infant Meal Pattern*, and the CSDE's Feeding Infants in CACFP Child Care Programs webpage.

**CACFP sponsor:** A public or private nonprofit organization that is entirely responsible for the administration of the CACFP in one or more day care homes, child care centers, emergency shelters, or at-risk afterschool care centers. In some situations, for-profit institutions may also be eligible to participate in the CACFP. For more information, see 7 CFR 226.2 of the CACFP regulations.

**carbohydrates:** A category of nutrients that includes sugars (simple carbohydrates), and starch and fiber (complex carbohydrates). Carbohydrates are easily converted by the body to energy (calories). Foods in the basic food groups that provide carbohydrates — fruits, vegetables, breads, cereals, grains, milk and dairy products — are important sources of many nutrients. However, foods containing large amounts of added sugars provide calories but few, if any, nutrients.

**celiac disease:** An autoimmune digestive disease that damages the small intestine and interferes with absorption of nutrients from food. People who have celiac disease cannot tolerate gluten, a protein in wheat, rye and barley. For more information, see "Celiac Disease" in section 2 and the Celiac Disease Foundation website.

**Child and Adult Care Food Program (CACFP):** The USDA's federally assisted meal program providing nutritious meals and snacks to children in child care centers, family day care homes and emergency shelters, and snacks and suppers to children participating in eligible at-risk afterschool care programs. The program also provides meals and snacks to adults who receive care in nonresidential adult day care centers. For more information, see the USDA's CACFP webpage and the CSDE's CACFP webpage.

**Child Nutrition (CN) label:** A statement that clearly identifies the contribution of a food product toward the meal pattern requirements, based on the USDA's evaluation of the product's formulation. Products eligible for CN labeling include main dish entrees that contribute to the meat/meat alternates component of the meal pattern requirements, e.g., beef patties, cheese or meat pizzas, meat or cheese and bean burritos, egg rolls, and breaded fish portions. The CN label will also indicate the contribution of other meal components that are part of these products. For more information, see the CSDE's handout, *Using Child Nutrition (CN) Labels in the CACFP*, and the USDA's Child Nutrition (CN) Labeling webpage.

**Child Nutrition Programs:** The USDA's federally funded programs that provide nutritious meals and snacks to children, including the National School Lunch Program (NSLP), School Breakfast Program (SBP), Afterschool Snack Program, Special Milk Program (SMP), Summer Food Service Program (SFSP), Seamless Summer Option (SSO) of the NSLP, Fresh Fruit and Vegetable Program (FFVP), and Child and Adult Care Food Program (CACFP). The CACFP also provides nutritious meals and snacks to the frail elderly in adult day care centers. For more information, see the CSDE's Child Nutrition Programs webpage.

**creditable food:** A food or beverage that can be counted toward meeting the meal pattern requirements for a reimbursable meal or snack in the USDA Child Nutrition Programs. For more information, visit the "Documents/Forms" section of the CSDE's Crediting Foods in CACFP Child Care Programs webpage.

**cross-contact:** The transfer of allergen-containing ingredients to allergy-free food by hands, food-contact surfaces, sponges, cloth towels, or utensils.

**Cumulative Health Record (CHR):** The official student health record in Connecticut schools. The CHR is recognized as a formal part of an educational record and must be maintained as such. It provides a systematic way to organize the collection of student health information. For more information, see the CSDE's publication, *Guidelines for Cumulative Health Records Guidelines*.

dietitian: See "registered dietitian" in this section.

**disability:** A condition in which a person has a physical or mental impairment that substantially limits one or more major life activities; has a record of such an impairment; or is regarded as having such an impairment. For more information, see "Definition of Disability" in section 2.

**Family Educational Rights and Privacy Act (FERPA):** A federal law that protects the privacy of student education records. The law applies to all schools that receive funds under an applicable program of the U.S. Department of Education. FERPA allows schools to disclose student records without consent to school officials with legitimate educational interest, such as making meal modifications for special dietary needs. For more information, visit the FERPA website.

**fluid milk substitutes:** Nondairy beverages (such as soy milk) that can be used as a substitute for fluid milk in the USDA Child Nutrition Programs. For reimbursable CACFP meals and snacks, nondairy beverages served to children without disabilities must comply with the USDA's nutrition standards for milk substitutes. For more information, see "nutrition standards for milk substitutes" in this section and "Milk Substitutions for Children without Disabilities" in section 3.

**food allergy:** An exaggerated response by the immune system to a food that the body mistakenly identifies as being harmful. The body's reaction to the allergy-causing food can affect the respiratory system, gastrointestinal tract, skin, and cardiovascular system. In some people, a food allergy can cause severe symptoms or even a life-threatening reaction known as anaphylaxis. For more information, see "anaphylaxis" in this section.

**food components:** The five food groups that comprise reimbursable meals in the USDA Child Nutrition Programs, including milk, fruits, vegetables, grains, and meat/meat alternates. For more information, visit the "Documents/Forms" section of the CSDE's Crediting Foods in CACFP Child Care Programs webpage.

**food intolerance:** An adverse food-induced reaction that does not involve the body's immune system, e.g., lactose intolerance. For more information, see "lactose intolerance" and "gluten sensitivity" in this section.

**food item:** A specific food offered within the food components that comprise reimbursable meals and snacks in the USDA Child Nutrition Programs. In the CACFP meal patterns for children, a food item is one of the three required foods for breakfast, one of the five required foods for lunch and supper, or one of the two required foods for snack.

**gluten sensitivity:** A condition with symptoms similar to those of celiac disease that improve when gluten is eliminated from the diet. Individuals diagnosed with gluten sensitivity do not experience the small intestine damage found in celiac disease. Gluten sensitivity is a diagnosis of exclusion that requires ruling out celiac disease and wheat/gluten allergy, followed by a period of dietary gluten exclusion to see if the patient gets better, then a gluten challenge to see how the patient reacts. For more information, see "Gluten Sensitivity" in section 2 and the Celiac Disease Foundation website.

has a record of such impairment: Has a history of, or has been misclassified as having, a mental or physical impairment that substantially limits one or more major life activities. For more information, see "Definition of Disability" in section 2.

Health Insurance Portability and Accountability Act of 1996 (HIPAA): A federal law that protects personal health information. The HIPAA Privacy Rule provides federal protections for personal health information (electronic, written and oral) held by covered entities and gives patients an array of rights with respect to that information. It also permits the disclosure of personal health information needed for patient care and other important purposes. The Security Rule protects health information in electronic form. It requires entities covered by HIPAA to ensure that electronic protected health information is secure. For more information, see the U.S. Department of Health and Human Services website.

**Individualized Education Program (IEP):** A written statement for a child with a disability that is developed, reviewed and revised in accordance with the Individuals with Disabilities Education Act (IDEA) and its implementing regulations. The IEP is the foundation of the student's educational program. It contains the program of special education and related services to be provided to the child with a disability covered by the IDEA.

**Individualized Health Care Plan (IHCP):** A written document developed for students with special health care needs or whose health needs require daily intervention. The IHCP describes how to meet an individual child's daily health and safety needs in the school setting.

**Individuals with Disabilities Education Act (IDEA):** A federal law ensuring services to children with disabilities that governs how states and public agencies provide early intervention, special education, and related services to eligible infants, toddlers, children, and youth with disabilities. The IDEA provides financial assistance to states in the provision of special education and related services for eligible children. For more information, see the IDEA website.

**is regarded as having an impairment:** 1) has a physical or mental impairment that does not substantially limit major life activities but that is treated by a recipient as constituting such a limitation; 2) has a physical or mental impairment that substantially limits major life activities only as a result of the attitudes of others towards such impairments; or 3) has none of the

impairments defined in "physical and mental impairment" but is treated by a recipient as having such an impairment. For more information, see "Definition of Disability" in section 2.

**lactose intolerance**: A reaction to a food that does not involve the immune system. Lactoseintolerant people lack an enzyme needed to digest milk sugar (lactose). When that person eats milk products, symptoms such as gas, bloating, and abdominal pain may occur.

licensed physician: A doctor of medicine (MD) or osteopathy (DO).

**major life activities:** These are broadly defined and include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working. "Major life activities" also include the operation of a major bodily function, including but not limited to, functions of the immune system, normal cell growth, digestive, bowel, bladder, neurological, brain, respiratory, circulatory, endocrine, and reproductive functions. For more information, see "Definition of Disability" in section 2.

**meat alternates:** Foods that provide similar protein content to meat. Meat alternates include alternate protein products (APPs), cheese, eggs, cooked dry beans or peas, nuts and seeds and their butters (except for acorn, chestnut and coconut), yogurt, soy yogurt, and commercial tofu containing at least 5 grams of protein in a <sup>1</sup>/<sub>4</sub>-cup (2.2 ounces) serving. For information on crediting meat alternates, visit the "Documents/Forms" section of the CSDE's Crediting Foods in CACFP Child Care Programs webpage.

**medical statement:** A document that identifies the specific medical conditions and appropriate dietary modifications for children with special dietary needs. The USDA requires that the medical statement to request meal modifications must include: 1) information about the child's physical or mental impairment that is sufficient to allow the CACFP facility to understand how it restricts the child's diet; 2) an explanation of what must be done to accommodate the child's disability; and 3) if appropriate, the food or foods to be omitted and recommended alternatives. For more information, see "Medical Statement Requirements" in section 2.

**menu item:** Any planned main dish, vegetable, fruit, bread, grain or milk that is part of the reimbursable meal. Menu items consist of food items.

**mitigating measures:** Things like medications, prosthetic devices, assistive devices, or learned behavioral or adaptive neurological modifications that an individual may use to eliminate or reduce the effects of an impairment. These measures cannot be considered when determining whether a person has a substantially limiting impairment under Section 504 or the ADA Amendments Act.

**National School Lunch Program (NSLP):** The USDA's federally assisted meal program operating in public and nonprofit private schools, and residential child care institutions. The NSLP provides nutritionally balanced, low-cost or free lunches to children each school day. It was established under the National School Lunch Act, signed by President Harry Truman in 1946. For more information, see the CSDE's National School Lunch Program webpage.

**noncreditable foods:** Foods and beverages that do not contribute toward the meal patterns for the USDA's Child Nutrition Programs. Noncreditable foods and beverages are either in amounts too small to credit (i.e., foods and beverage that do not provide the minimum creditable amount of a food component), or they do not fit into one of the meal pattern components. For more information, see the CSDE's handout, *Noncreditable Foods in CACFP Child Care Programs*.

**nutrient-dense foods:** Foods and beverages that provide vitamins, minerals, and other substances that contribute to adequate nutrient intakes or may have positive health effects, and contain little or no solid fats, added sugars, refined starches, or sodium. Ideally, these foods and beverages are also in forms that retain naturally occurring components, such as dietary fiber. Examples include all vegetables, fruits, whole grains, seafood, eggs, beans and peas, unsalted nuts and seeds, fat-free and low-fat dairy products, and lean meats and poultry (when prepared with little or no added solid fats, sugars, refined starches, and sodium). The term "nutrient dense" indicates the nutrients and other beneficial substances in a food have not been "diluted" by the addition of calories from added solid fats, sugars, or refined starches, or by the solid fats naturally present in the food.

nutrient-rich foods: See "nutrient-dense foods" in this section.

nutrition standards for fluid milk substitutes: The USDA's nutrition requirements for nondairy beverages (such as soy milk) substituted for fluid milk in the USDA Child Nutrition Programs. The USDA requires that any fluid milk substitutes are nutritionally equivalent to cow's milk and contain the following nutrients based on a 1-cup serving (8 fluid ounces): 276 milligrams (mg) of calcium; 8 grams (g) of protein; 500 international units (IU) of vitamin A; 100 IU of vitamin D; 24 mg of magnesium; 222 mg of phosphorus; 349 mg of potassium; 0.44 mg of riboflavin; and 1.1 micrograms (mcg) of vitamin B-12. For more information, see table 4 in section 3 and the CSDE's handout, *Allowable Milk Substitutes for Children without Disabilities in the CACFP*.

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**nutritionist:** There is no accepted national definition for the title "nutritionist." All registered dietitians are nutritionists, but not all nutritionists are registered dietitians. Some state licensure boards have enacted legislation that regulates use of the title "nutritionist" and sets specific qualifications for holding the title. The definition is variable from state to state. Section 20-206n of the Connecticut General Statutes defines a licensed dietitian/nutritionist certification for registered dietitians. Other professionals can also apply if they have successfully passed a written examination prescribed by the Commissioner of Public Health and have a master's degree or doctoral degree from an institution of higher education accredited by a regional accrediting agency recognized by the U.S. Department of Education, with a major course of study which focused primarily on human nutrition or dietetics. For more information on state licensing requirements, see the Connecticut State Department of Public Health's Dietitian/Nutritionist Certification webpage.

**obese (children):** A body mass index (BMI) at or above the 95th percentile for children of the same age and sex. For more information, see "body mass index" in this section and the CDC's Defining Childhood Obesity webpage.

**overweight (children):** A body mass index (BMI) at or above the 85th percentile and lower than the 95th percentile for children of the same age and sex. For more information, see "body mass index" in this section and the CDC's Defining Childhood Obesity webpage.

**phenylketonuria:** A rare genetic disorder in which an individual lacks an enzyme to break down the amino acid phenylalanine, which is present in protein foods. Without the enzyme, levels of phenylalanine build up in the body. This can harm the central nervous system and cause brain damage.

**physical or mental impairment:** 1) any physiological disorder or condition, cosmetic disfigurement, or anatomical loss affecting one or more of the following body systems: neurological; musculoskeletal; special sense organs; respiratory, including speech organs; cardiovascular; reproductive; digestive; genitourinary; hemic and lymphatic; skin; and endocrine; or 2) any mental or psychological disorder, such as mental retardation, organic brain syndrome, emotional or mental illness, and specific learning disabilities. The term "physical or mental impairment" includes, but is not limited to, such diseases and conditions as orthopedic, visual, speech and hearing impairments; cerebral palsy; epilepsy; muscular dystrophy; multiple sclerosis; cancer; heart disease; diabetes; mental retardation; emotional illness; and drug addiction and alcoholism. For more information, see "Definition of Disability" in section 2.

**Planning and Placement Team (PPT):** A group of certified or licensed professionals who represent each of the teaching, administrative and pupil personnel staffs, and who participate equally in the decision-making process to determine the specific educational needs of a child eligible for special education, and develop an individualized educational program for the child. These are people knowledgeable in the areas necessary to determine and review the appropriate educational program for a child eligible for special education.

product formulation statement (PFS): An information statement obtained from the manufacturer that provides specific information about how a product credits toward the USDA meal pattern requirements, and documents how this information is obtained citing Child Nutrition Program resources or regulations. All creditable ingredients in this statement must match a description in the USDA's *Food Buying Guide for Child Nutrition Programs*. The PFS must be prepared on company letterhead with the signature of a company official and the date of issue. Unlike a CN label, a PFS does not provide any warranty against audit claims. The CACFP facility must check the manufacturer's crediting information for accuracy prior to including the product in reimbursable meals and snacks. For more information, see the CSDE's handouts, *Using Product Formulation Statements in the CACFP* and *Accepting Processed Product Documentation in the CACFP*.

**product specification sheet:** Manufacturer sales literature that provides various information about the company's products. These materials do not provide the specific crediting information that is required on a product formulation statement and cannot be used to determine a product's contribution toward the USDA meal pattern components.

**reasonable modification**: A change or alteration in policies, practices, and/or procedures to accommodate a disability that ensures children with disabilities have equal opportunity to participate in or benefit from a program. The general guideline in making accommodations is that children with disabilities must be able to participate in and receive benefits from programs that are available to children without disabilities.

**recognized medical authority:** A state-licensed health care professional who is authorized to write medical prescriptions under state law and is recognized by the State Department of Public Health. In Connecticut, recognized medical authorities include physicians (MD), physician assistants (PA) and certified physician assistants (PAC), doctors of osteopathy (DO), and advanced practice registered nurses (APRN).

#### Glossary

registered dietitian (RD) or registered dietitian nutritionist (RDN): The Commission on Dietetic Registration defines a RD and RDN as someone who has completed a minimum of a bachelor's degree at a U.S. regionally accredited university or college and course work accredited or approved by the Accreditation Council for Education in Nutrition and Dietetics (ACEND) of the Academy of Nutrition and Dietetics (AND); completed an ACENDaccredited supervised practice program at a health-care facility, community agency, or a foodservice corporation or combined with undergraduate or graduate studies; passed a national examination administered by the Commission on Dietetic Registration (CDR); and completed continuing professional educational requirements to maintain registration. For more information, visit the AND's What is a Registered Dietitian Nutritionist website and the CDR's Registered Dietitian (RD) or Registered Dietitian Nutritionist (RDN) Certification website.

**reimbursable meals:** Meals and snacks that meet the meal pattern requirements of the CACFP regulations, and are eligible for USDA funds.

**School Breakfast Program (SBP):** The USDA's federally assisted meal program operating in public and nonprofit private schools, and residential child care institutions. The SBP provides nutritionally balanced, low-cost or free breakfasts to children each school day. The program was established under the Child Nutrition Act of 1966 to ensure that all children have access to a healthy breakfast at school to promote learning readiness and healthy eating behaviors. For more information, visit the CSDE's School Breakfast Program webpage.

serving size or portion: The weight, measure, or number of pieces or slices of a food or beverage. CACFP facilities must provide the minimum serving sizes in the CACFP meal patterns for meals and snacks to be reimbursable.

